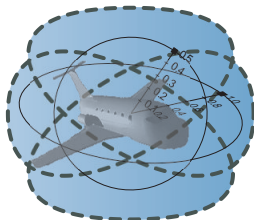


Logic of Autonomous Dynamical Systems

André Platzer

Karlsruhe Institute of Technology
Department of Informatics

Computer Science Department
Carnegie Mellon University

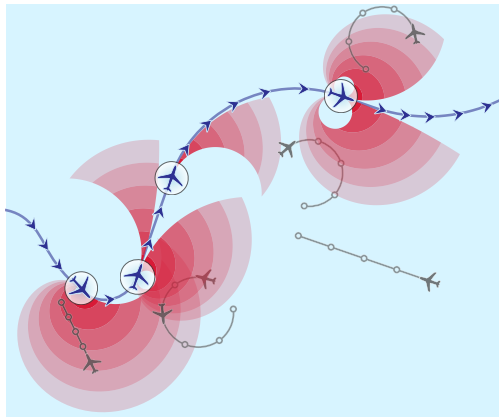


Unterstützt von / Supported by



Alexander von Humboldt
Stiftung/Foundation

Which control decisions are safe for aircraft collision avoidance?



Cyber-Physical Systems

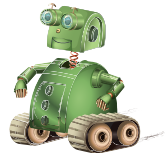
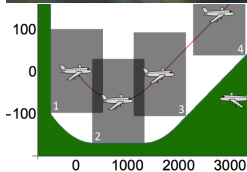
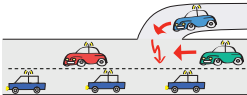
CPSs combine cyber capabilities with physical capabilities to solve problems that neither part could solve alone.

Prospects: Safety & Efficiency

(Autonomous) cars

(Auto)Pilot support

Robots near humans



Cyber-Physical Systems

CPSs combine cyber capabilities with physical capabilities to solve problems that neither part could solve alone.

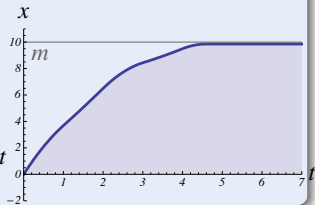
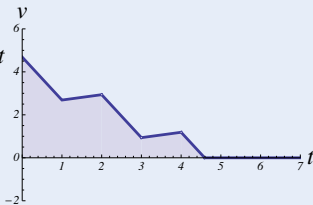
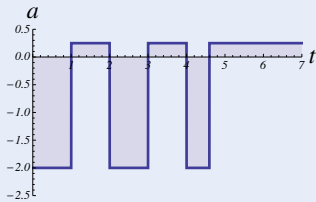
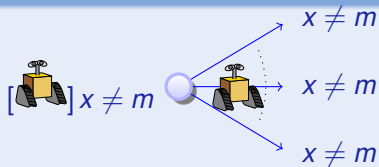
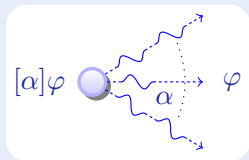
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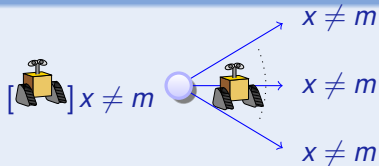
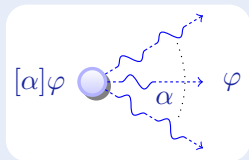
Concept (Differential Dynamic Logic)

(JAR'08, LICS'12)



Concept (Differential Dynamic Logic)

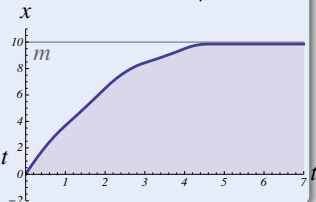
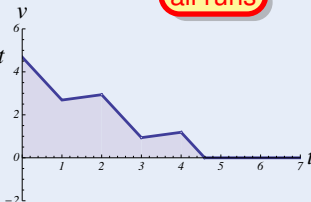
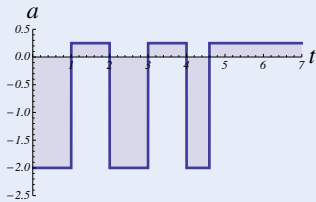
(JAR'08, LICS'12)



$$[[\text{if}(\text{SB}(x, m)) a := -b; x' = v, v' = a]^*] x \neq m$$

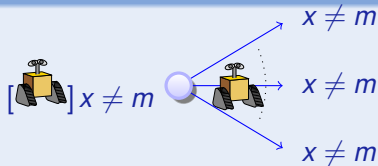
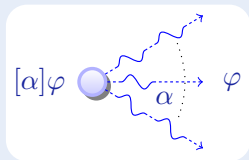
all runs

post



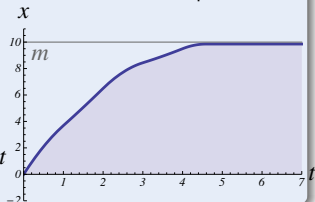
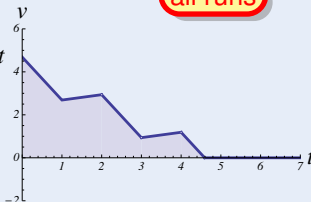
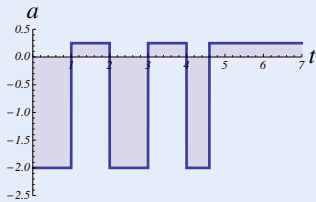
Concept (Differential Dynamic Logic)

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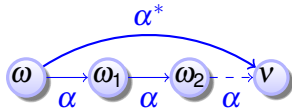
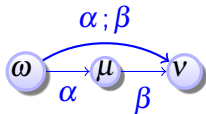
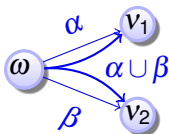
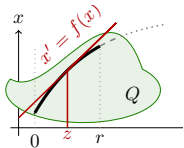
$$\underbrace{x \neq m \wedge b > 0}_{\text{init}} \rightarrow \left[\left(\text{if}(\text{SB}(x, m)) \ a := -b \ ; \ x' = v, v' = a \right)^* \right] \underbrace{x \neq m}_{\text{post}}$$

all runs



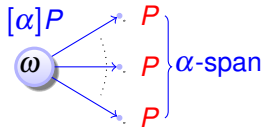
Definition (Hybrid program)

$$\alpha, \beta ::= x := e \mid ?Q \mid x' = f(x) \& Q \mid \alpha \cup \beta \mid \alpha; \beta \mid \alpha^*$$



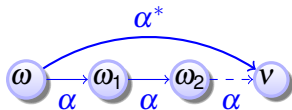
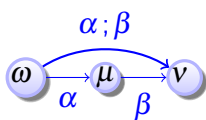
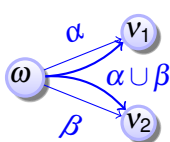
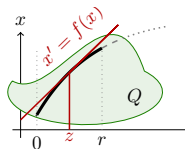
Definition (Differential dynamic logic)

$$P, Q ::= e \geq \tilde{e} \mid \neg P \mid P \wedge Q \mid P \vee Q \mid P \rightarrow Q \mid \forall x P \mid \exists x P \mid [\alpha]P \mid \langle \alpha \rangle P$$



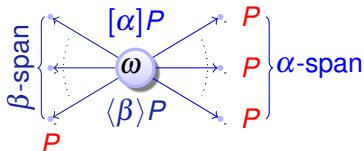
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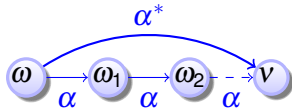
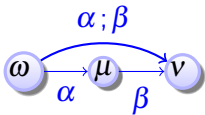
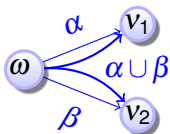
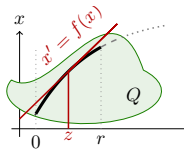
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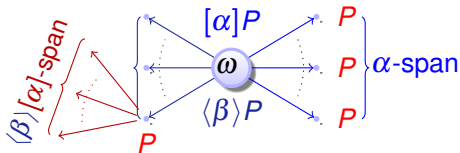
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Definition (Differential dynamic logic)

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Definition (Hybrid program semantics)

 $([\![\cdot]\!] : \text{HP} \rightarrow \wp(\mathcal{S} \times \mathcal{S}))$

$$[x := e] = \{(\omega, v) : v = \omega \text{ except } v[x] = \omega[e]\}$$

$$[?Q] = \{(\omega, \omega) : \omega \in [Q]\}$$

$$[x' = f(x)] = \{(\varphi(0), \varphi(r)) : \varphi \models x' = f(x) \text{ for some duration } r\}$$

$$[\alpha \cup \beta] = [\alpha] \cup [\beta]$$

$$[\alpha; \beta] = [\alpha] \circ [\beta]$$

$$[\alpha^*] = [\alpha]^* = \bigcup_{n \in \mathbb{N}} [\alpha^n]$$

compositional semantics

Definition (dL semantics)

 $([\![\cdot]\!] : \text{Fml} \rightarrow \wp(\mathcal{S}))$

$$[e \geq \tilde{e}] = \{\omega : \omega[e] \geq \omega[\tilde{e}]\}$$

$$[\neg P] = [P]^c$$

$$[P \wedge Q] = [P] \cap [Q]$$

$$[\langle \alpha \rangle P] = [\alpha] \circ [P] = \{\omega : v \in [P] \text{ for some } v : (\omega, v) \in [\alpha]\}$$

$$[[\alpha]P] = [\neg \langle \alpha \rangle \neg P] = \{\omega : v \in [P] \text{ for all } v : (\omega, v) \in [\alpha]\}$$

$$[\exists x P] = \{\omega : \omega_x^r \in [P] \text{ for some } r \in \mathbb{R}\}$$



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$$[:=] \quad [x := e]P(x) \leftrightarrow P(e)$$

equations of truth

$$[?] \quad [?Q]P \leftrightarrow (Q \rightarrow P)$$

$$['] \quad [x' = f(x)]P \leftrightarrow \forall t \geq 0 [x := x(t)]P \quad (x'(t) = f(x))$$

$$[\cup] \quad [\alpha \cup \beta]P \leftrightarrow [\alpha]P \wedge [\beta]P$$

$$[;] \quad [\alpha; \beta]P \leftrightarrow [\alpha][\beta]P$$

$$[*] \quad [\alpha^*]P \leftrightarrow P \wedge [\alpha][\alpha^*]P$$

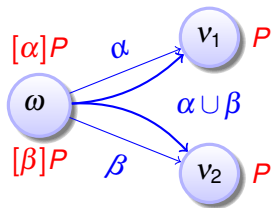
$$K \quad [\alpha](P \rightarrow Q) \rightarrow ([\alpha]P \rightarrow [\alpha]Q)$$

laws of logic of
laws of physics

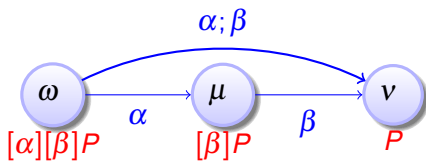
$$I \quad [\alpha^*]P \leftrightarrow P \wedge [\alpha^*](P \rightarrow [\alpha]P)$$

$$C \quad [\alpha^*]\forall v > 0 (P(v) \rightarrow \langle \alpha \rangle P(v-1)) \rightarrow \forall v (P(v) \rightarrow \langle \alpha^* \rangle \exists v \leq 0 P(v))$$

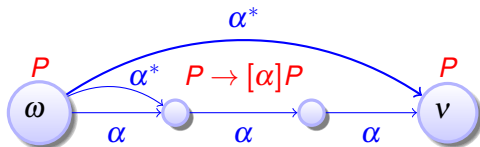
$$[\alpha \cup \beta]P \leftrightarrow [\alpha]P \wedge [\beta]P$$



$$[\alpha; \beta]P \leftrightarrow [\alpha][\beta]P$$



$$[\alpha^*]P \leftrightarrow P \wedge [\alpha^*](P \rightarrow [\alpha]P)$$



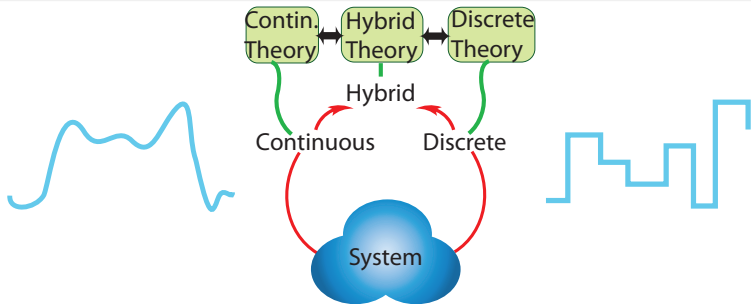
Theorem (Sound & Complete)

(JAR'08, LICS'12, JAR'17)

*dL calculus is a sound & complete axiomatization of hybrid systems relative to either differential equations **or** to discrete dynamics.*

Corollary (Complete Proof-theoretical Bridge)

proving continuous = proving hybrid = proving discrete



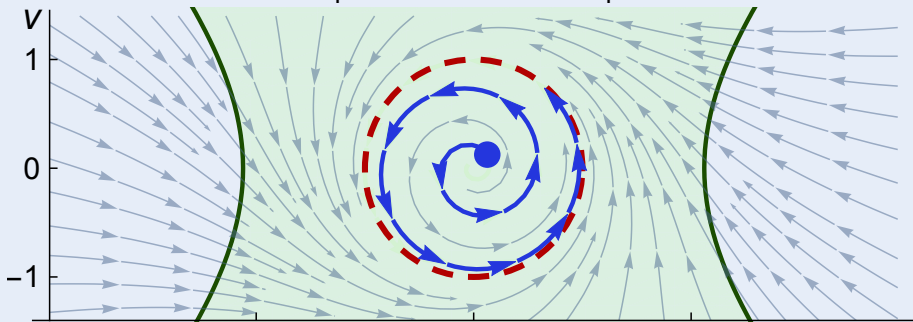
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Concept (Differential Dynamic Logic)

(JAR'08, LICS'12)

$$u^2 \leq v^2 + \frac{9}{2} \rightarrow [u' = -v + \frac{u}{4}(1 - u^2 - v^2), v' = u + \frac{v}{4}(1 - u^2 - v^2)] u^2 \leq v^2 + \frac{9}{2}$$

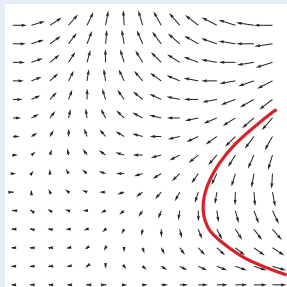
$$u^2 + v^2 = 1 \rightarrow [u' = -v + \frac{u}{4}(1 - u^2 - v^2), v' = u + \frac{v}{4}(1 - u^2 - v^2)] u^2 + v^2 = 1$$



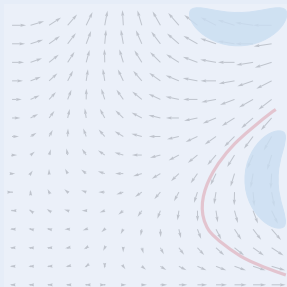
Analyzing ODEs via solutions undoes their descriptive power! Poincaré 1881

Differential Invariants for Differential Equations

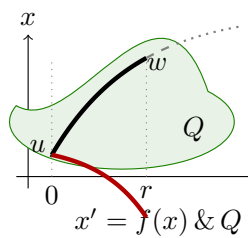
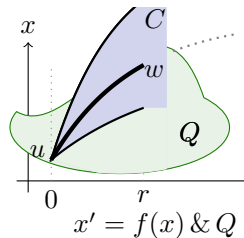
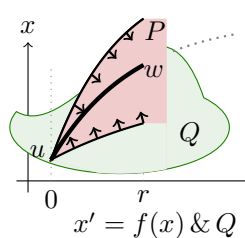
Differential Invariant



Differential Cut

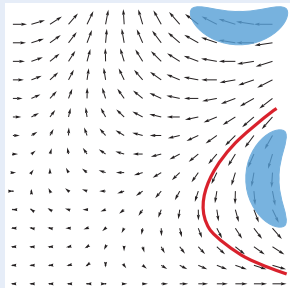


Differential Ghost

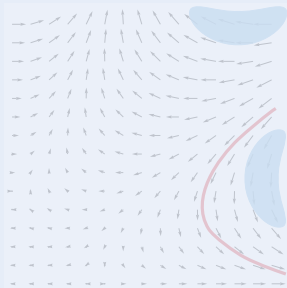


A Differential Invariants for Differential Equations

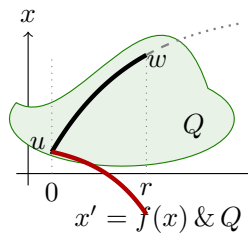
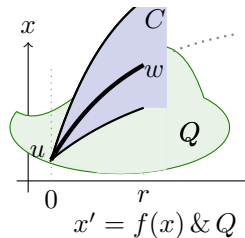
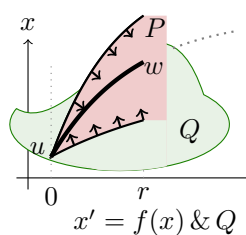
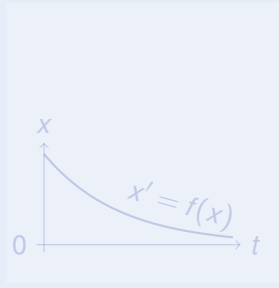
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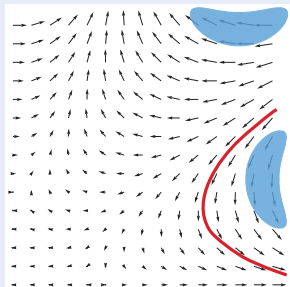


Differential Ghost

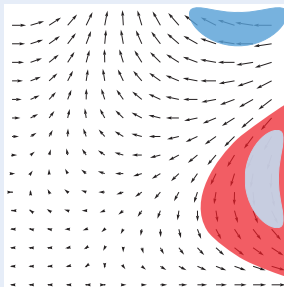


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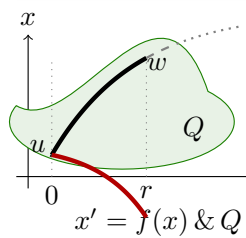
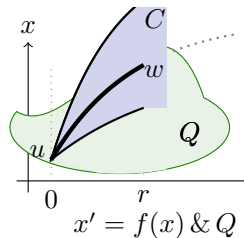
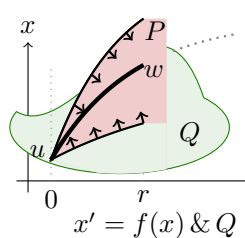
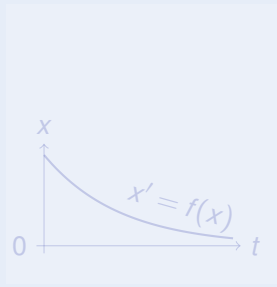
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Differential Cut

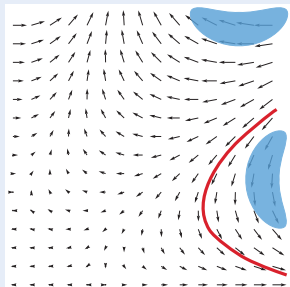


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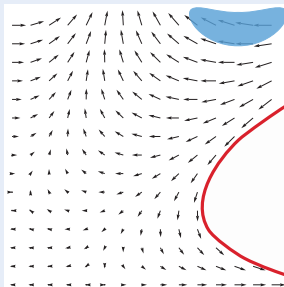


Differential Invariants for Differential Equations

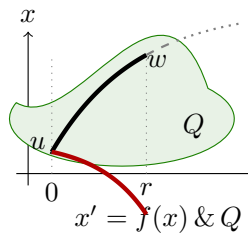
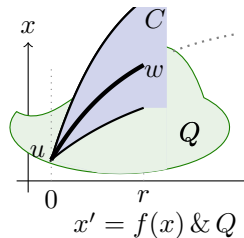
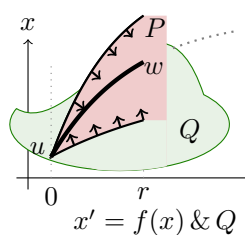
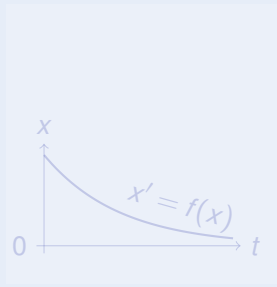
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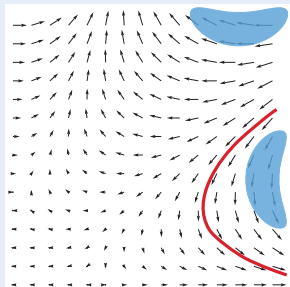


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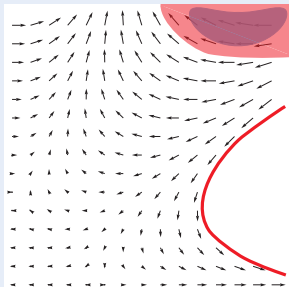


A Differential Invariants for Differential Equations

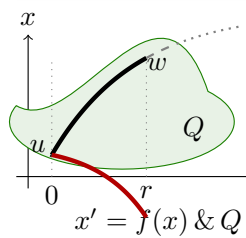
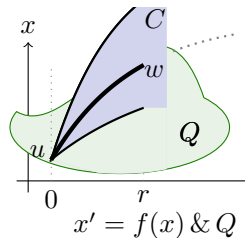
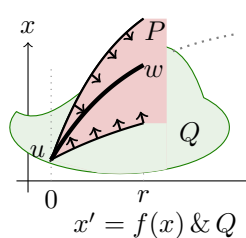
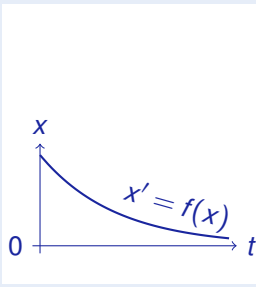
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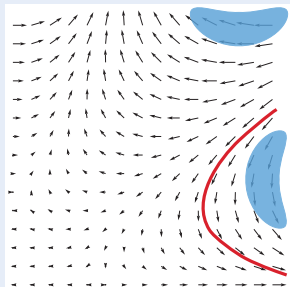


Differential Ghost

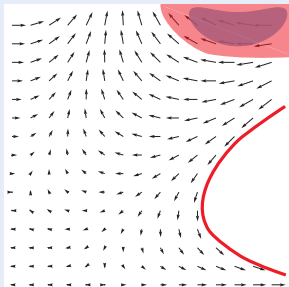


A Differential Invariants for Differential Equations

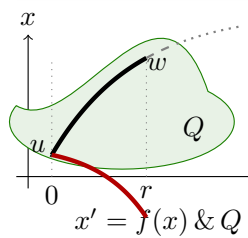
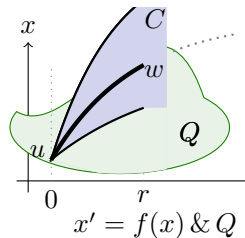
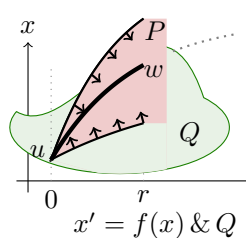
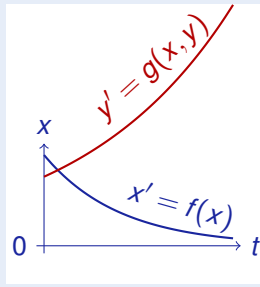
Differential Invariant



Differential Cut

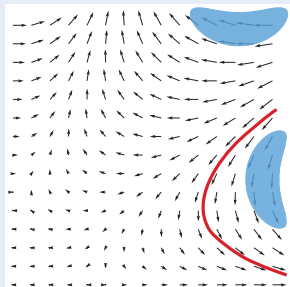


Differential Ghost

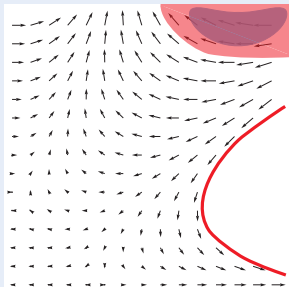


A Differential Invariants for Differential Equations

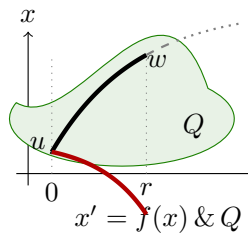
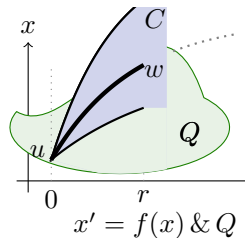
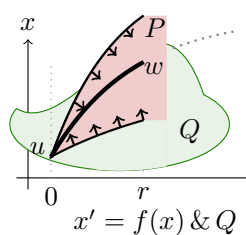
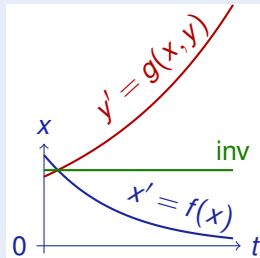
Differential Invariant



Differential Cut



Differential Ghost



A Differential Invariants for Differential Equations

Differential Invariant

$$\frac{Q \vdash [x' := f(x)](P)'}{P \vdash [x' = f(x) \& Q]P}$$

Differential Cut

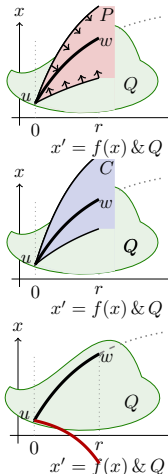
$$\frac{P \vdash [x' = f(x) \& Q]C \quad P \vdash [x' = f(x) \& Q \wedge C]P}{P \vdash [x' = f(x) \& Q]P}$$

Differential Ghost

$$\frac{P \leftrightarrow \exists y G \quad G \vdash [x' = f(x), y' = g(x, y) \& Q]G}{P \vdash [x' = f(x) \& Q]P}$$

deductive power added $DI \prec DI+DC \prec DI+DC+DG$

$$u[[e]'] = \sum_x u(x') \frac{\partial [[e]]}{\partial x}(u)$$



A Differential Invariants for Differential Equations

Differential Invariant

$$\frac{Q \vdash [x' := f(x)](P)'}{P \vdash [x' = f(x) \& Q]P}$$

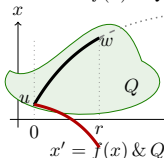
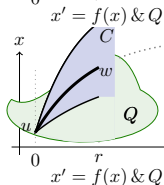
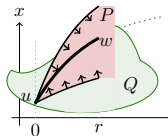
Differential Cut

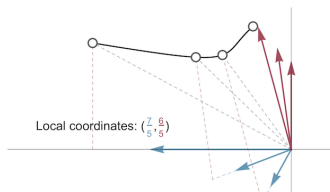
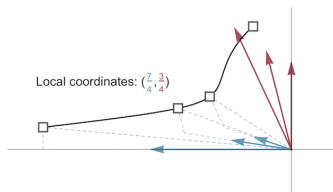
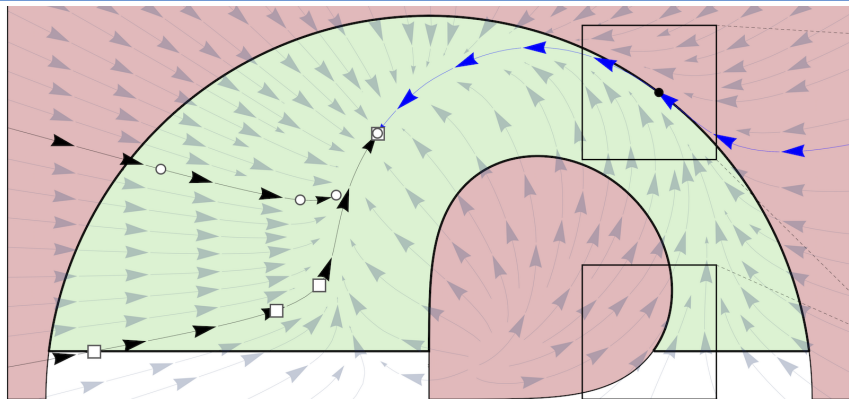
$$\frac{P \vdash [x' = f(x) \& Q]C \quad P \vdash [x' = f(x) \& Q \wedge C]P}{P \vdash [x' = f(x) \& Q]P}$$

Differential Ghost

$$\frac{P \leftrightarrow \exists y G \quad G \vdash [x' = f(x), y' = g(x, y) \& Q]G}{P \vdash [x' = f(x) \& Q]P}$$

if $g(x, y) = a(x)y + b(x)$, so has long solution!





Theorem (Algebraic Completeness) (LICS'18,JACM'20)

dL calculus is a sound & complete axiomatization of algebraic invariants of polynomial differential equations. They are decidable by DI,DC,DG in dL.

Theorem (Semialgebraic Completeness) (LICS'18,JACM'20)

dL calculus with RI is a sound & complete axiomatization of semialgebraic invariants of differential equations. They are decidable in dL.

Theorem (Algebraic Completeness)

(LICS'18, JACM'20)

dL calculus is a sound & complete axiomatization of algebraic invariants of polynomial differential equations. They are decidable

$$(DRI) \quad [x' = f(x) \ \& \ Q]e = 0 \leftrightarrow (Q \rightarrow e'^* = 0) \quad (Q \text{ open})$$

Theorem (Semialgebraic Completeness)

(LICS'18, JACM'20)

dL calculus with RI is a sound & complete axiomatization of semialgebraic invariants of differential equations. They are decidable

$$(SAI) \quad \forall x (P \rightarrow [x' = f(x)]P) \leftrightarrow \forall x (P \rightarrow P'^*) \wedge \forall x (\neg P \rightarrow (\neg P)^{'*-})$$

Definable e'^* is short for *all/significant* Lie derivative w.r.t. ODE

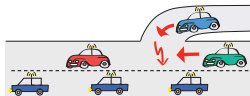
Definable $e'^*{}^-$ is w.r.t. backwards ODE $x' = -f(x)$. Also for P .

$$\begin{aligned} e'^* = 0 &\equiv e=0 \wedge (e')'^* = 0 & (P \wedge Q)^{'*} &\equiv P'^* \wedge Q'^* \\ e'^* \geq 0 &\equiv e \geq 0 \wedge (e=0 \rightarrow (e')'^* \geq 0) & (P \vee Q)^{'*} &\equiv P'^* \vee Q'^* \end{aligned}$$

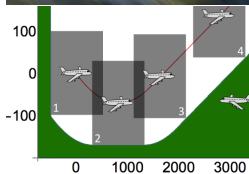
- 1 Cyber-Physical Systems & Dynamical Systems
- 2 Differential Dynamic Logic for Multi-Dynamical Systems
- 3 Proofs for Dynamical Systems
- 4 Proofs for Differential Equations
- 5 Applications**
- 6 Summary

Prospects: Safety & Efficiency

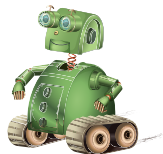
(Autonomous) cars



(Auto)Pilot support



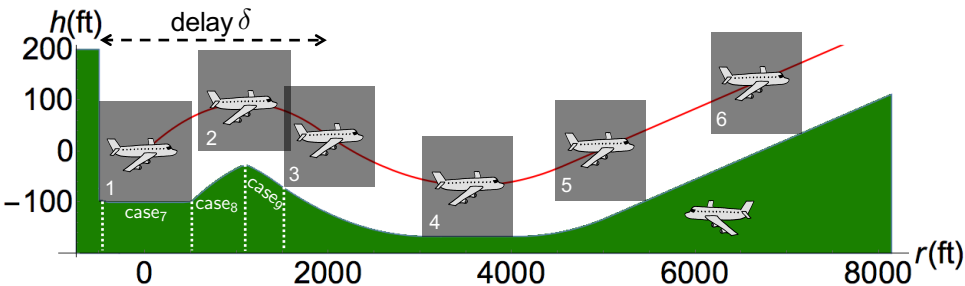
Robots near humans



Cyber-Physical Systems

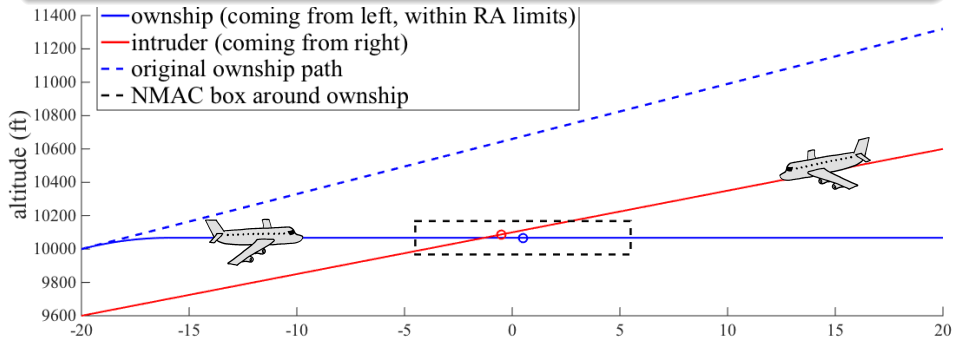
CPSs combine cyber capabilities with physical capabilities to solve problems that neither part could solve alone.

- Developed by the FAA to replace current TCAS in aircraft
- Approximately optimizes Markov Decision Process on a grid
- Advisory from lookup tables with numerous 5D interpolation regions



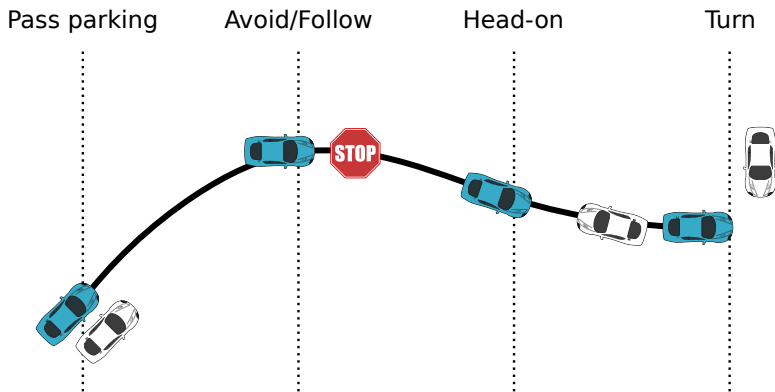
- 1 Identified safe region for each advisory symbolically
- 2 Proved safety for hybrid systems flight model in KeYmaera X

ACAS X table comparison shows safe advisory in 97.7% of the 648,591,384,375 states compared (15,160,434,734 counterexamples).



ACAS X issues DNC advisory, which induces collision unless corrected

- Fundamental safety question for ground robot navigation IJRR'17
- When will which control decision avoid obstacles?
- Depends on safety objective, physical capabilities of robot + obstacle



- 1 Identified safe region for each safety notion symbolically
- 2 Proved safety for hybrid systems ground robot model in KeYmaera X

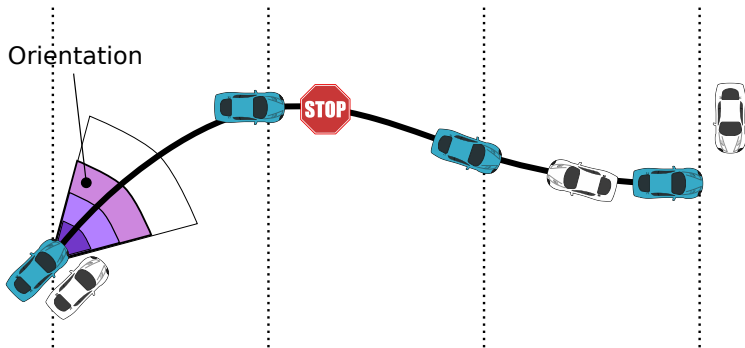
- Fundamental safety question for ground robot navigation IJRR'17
- When will which control decision avoid obstacles?
- Depends on safety objective, physical capabilities of robot + obstacle

Pass parking

Avoid/Follow

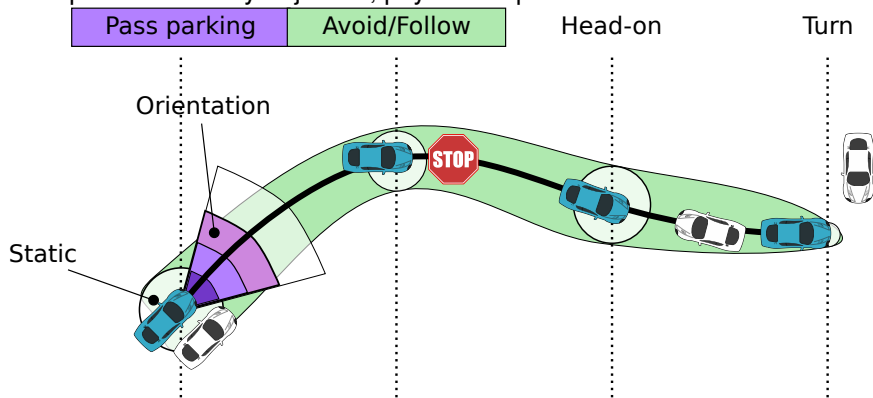
Head-on

Turn



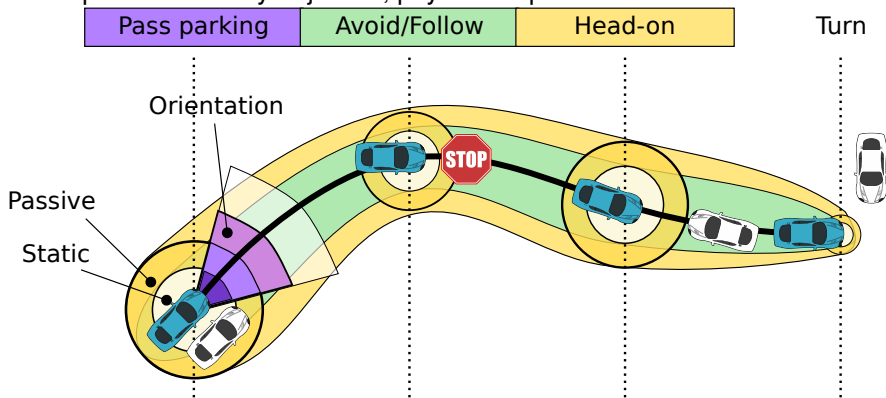
- 1 Identified safe region for each safety notion symbolically
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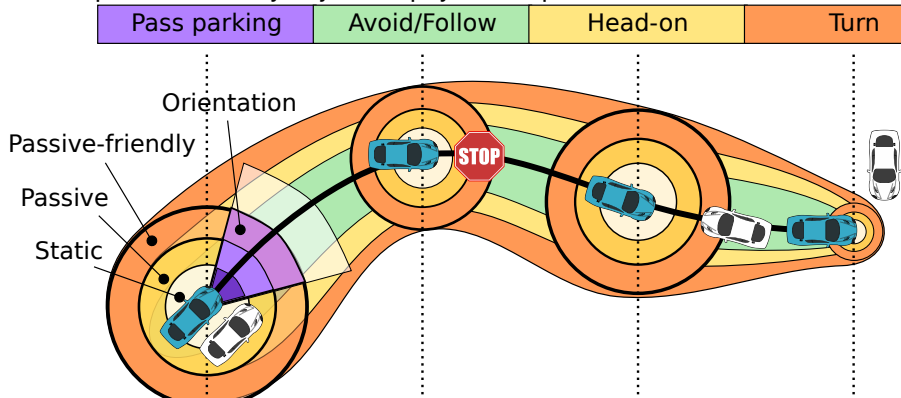
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- Depends on safety objective, physical capabilities of robot + obstacle



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Safety ▶

Invariant + Safe Control

$$\text{static} \quad \|p - o\|_\infty > \frac{s^2}{2b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon s\right)$$

$$\text{passive} \quad s \neq 0 \rightarrow \|p - o\|_\infty > \frac{s^2}{2b} + V\frac{s}{b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s + V)\right)$$

$$+ \text{ sensor} \quad \|\hat{p} - o\|_\infty > \frac{s^2}{2b} + V\frac{s}{b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s + V)\right) + \Delta_p$$

$$+ \text{ disturb.} \quad \|p - o\|_\infty > \frac{s^2}{2b\Delta_a} + V\frac{s}{b\Delta_a} + \left(\frac{A}{b\Delta_a} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s + V)\right)$$

$$+ \text{ failure} \quad \|\hat{p} - o\|_\infty > \frac{s^2}{2b} + V\frac{s}{b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(v + V)\right) + \Delta_p + g\Delta$$

$$\text{friendly} \quad \|p - o\|_\infty > \frac{s^2}{2b} + \frac{V^2}{2b_0} + V\left(\frac{s}{b} + \tau\right) + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s + V)\right)$$

⋮

Safety	Invariant	Safe Control
static	$\ p - o\ _\infty > \frac{s^2}{2b}$	$\left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon s\right)$
passive	$s \neq 0 \rightarrow \ p - o\ _\infty > \frac{s^2}{2b}$	$+V\frac{s}{b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s+V)\right)$
+ sensor	$\frac{s^2}{2b}$	$\left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s+V)\right) + \Delta_p$
+ disturb.	$\ p - o\ _\infty > \frac{s^2}{2b\Delta_a} + V\frac{s}{b\Delta_a}$	$\left(\frac{A}{b\Delta_a} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s+V)\right)$
+ failure	$\ \hat{p} - o\ _\infty > \frac{s^2}{2b} + V\frac{s}{b}$	$\left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s+V)\right) + \Delta_p + g\Delta$
friendly	$\ p - o\ _\infty > \frac{s^2}{2b} + \frac{V^2}{2b_0} + V\left(\frac{s}{b} + \tau\right)$	$\left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s+V)\right)$

Question

How to find and justify constraints? Proof!

⋮

Autonomous CPS



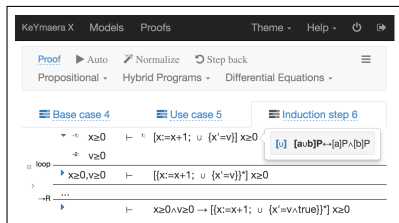
Monitor transfers safety

ModelPlex proof synthesizes

Compliance Monitor

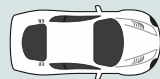


KeYmaera X



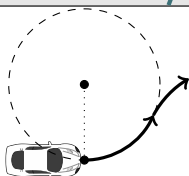
generates proofs

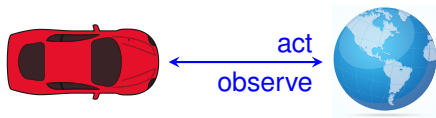
Proof and invariant search



Model Safety

Model

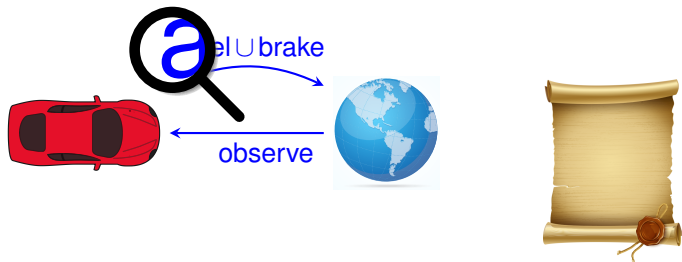




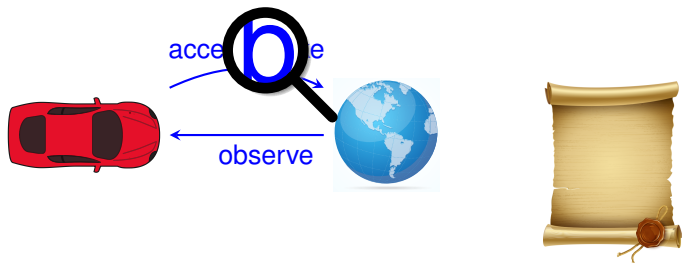
Reinforcement Learning learns from experience of trying actions



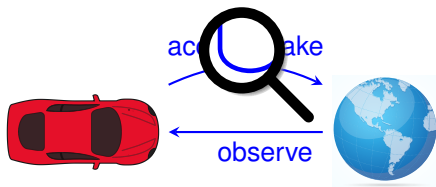
RL chooses an action, observes outcome, reinforces in policy if successful



ModelPlex monitor inspects each decision, vetoes if unsafe

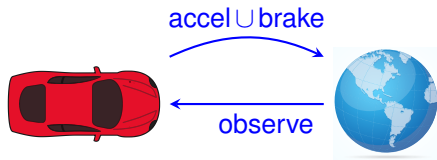


ModelPlex monitor gives early feedback about possible future problems.
No need to wait till disaster strikes and propagate back.



dL benefits from RL optimization.

RL benefits from dL safety signal.



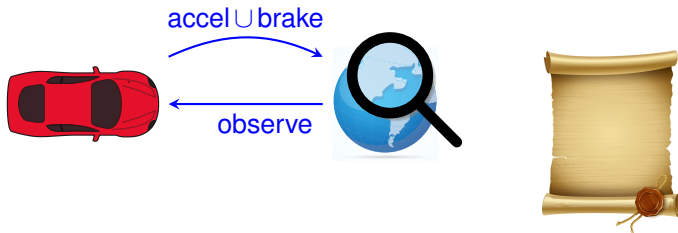
Theorem

Safe policy if ODE accurate

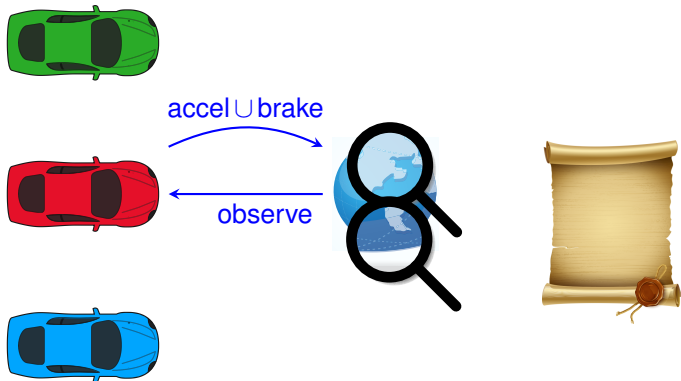
Experiment

Graceful recovery outside ODE \rightsquigarrow quantitative ModelPlex

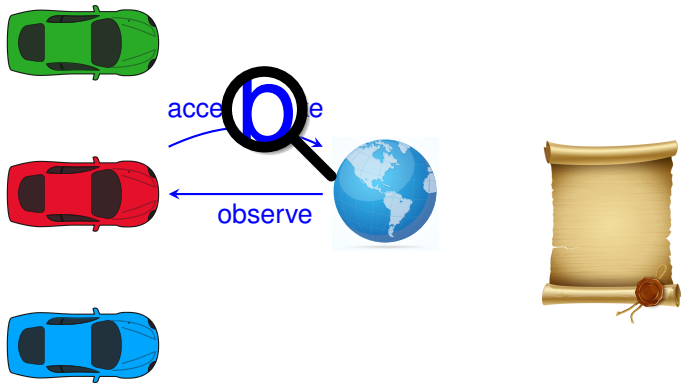
Detect modeled versus unmodeled state space \rightsquigarrow ModelPlex



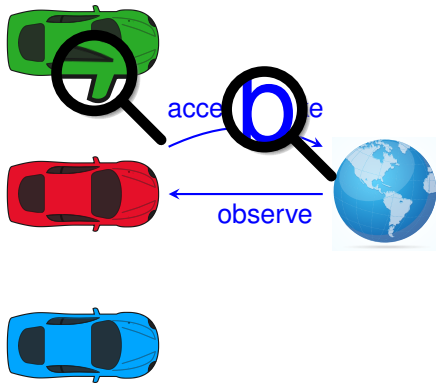
What's safe when off model?



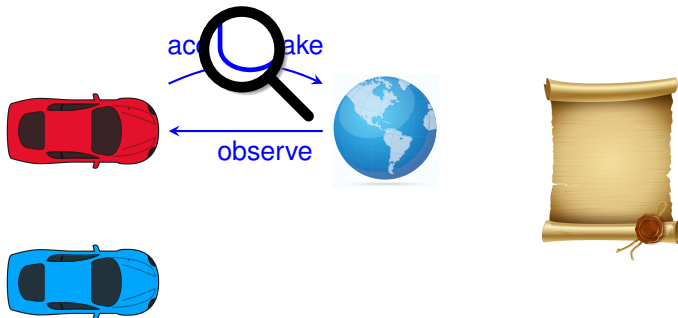
What's safe with multiple possible models?



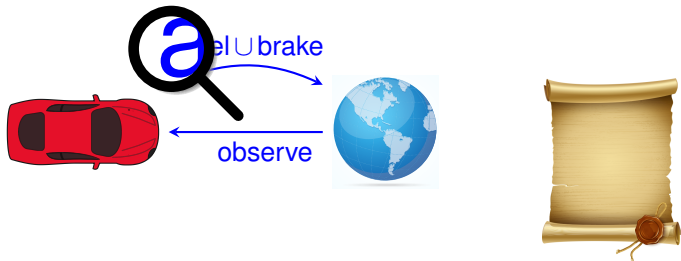
ModelPlex monitors conjunction of all plausible models



Remove incompatible models after contradictory observation



Plan differentiating experiment \Leftarrow predictive monitor distinctions



Convergence

Plausible models converge to true model a.s., if possible

- 1 Cyber-Physical Systems & Dynamical Systems
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- 3 Proofs for Dynamical Systems
- 4 Proofs for Differential Equations
- 5 Applications
- 6 Summary**

CPSs deserve proofs as safety evidence!

- Verified CPS implementations by ModelPlex
- Correct CPS execution
- CPS proof and tactic languages+libraries
- Big CPS built from safe components
- ODE invariance
- ODE liveness
- ODE stability
- Invariant generation
- Safe AI autonomy in CPS
- Refinement + system property proofs
- CPS information flow
- Hybrid games
- Constructive hybrid games

FMSD'16

PLDI'18

ITP'17

STTT'18

JACM'20

FAC'21

TACAS'21

FMSD'21

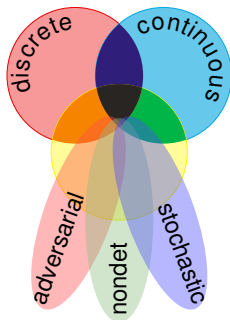
AAAI'18

LICS'16

LICS'18

TOCL'15

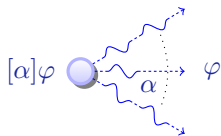
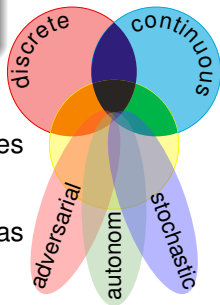
IJCAR'20



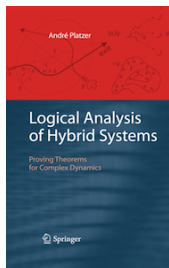
differential dynamic logic

$$dL = DL + HP$$

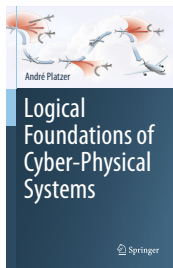
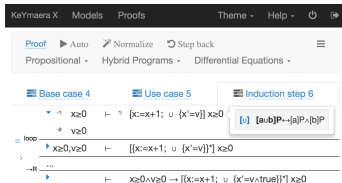
- Strong analytic foundations
- Practical reasoning advances
- Significant applications
- Catalyze many science areas



- Logic & Proofs for CPS
- Programming languages
- Theorem proving
- Multi-dynamical systems

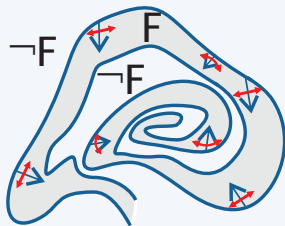


KeYmaera X

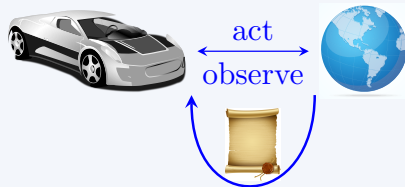




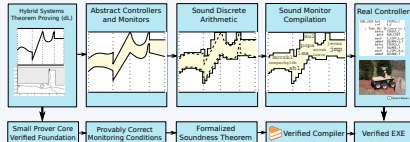
Foundations



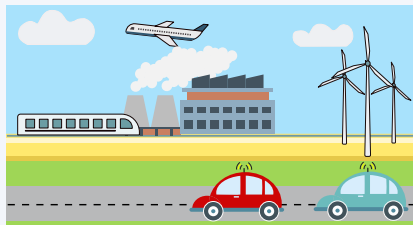
Safe AI Autonomy

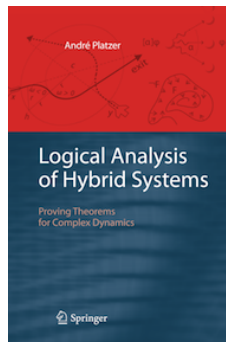
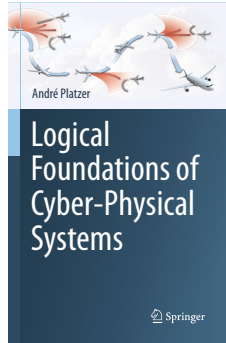


Safe CPS Stacks



CPS Applications





I Part: Elementary Cyber-Physical Systems

2. Differential Equations & Domains
3. Choice & Control
4. Safety & Contracts
5. Dynamical Systems & Dynamic Axioms
6. Truth & Proof
7. Control Loops & Invariants
8. Events & Responses
9. Reactions & Delays

II Part: Differential Equations Analysis

10. Differential Equations & Differential Invariants
11. Differential Equations & Proofs
12. Ghosts & Differential Ghosts
13. Differential Invariants & Proof Theory

III Part: Adversarial Cyber-Physical Systems

- 14-17. Hybrid Systems & Hybrid Games

IV Part: Comprehensive CPS Correctness



Logical Foundations of Cyber-Physical Systems

- 7 Appendix
 - Soundness and Completeness
 - Uniform Substitution
 - ModelPlex Runtime Model Validation
 - Robot Applications
 - Safe AI in CPS

7 Appendix

- Soundness and Completeness
- Uniform Substitution
- ModelPlex Runtime Model Validation
- Robot Applications
- Safe AI in CPS

Theorem (Sound & Complete)

(JAR'08, LICS'12, JAR'17)

*dL calculus is a sound & complete axiomatization of hybrid systems relative to either differential equations **or** to discrete dynamics.*

Corollary (Complete Proof-theoretical Bridge)

proving continuous = proving hybrid = proving discrete

$$\models P \text{ iff } \text{FOD} \vdash_{\text{dL}} P$$

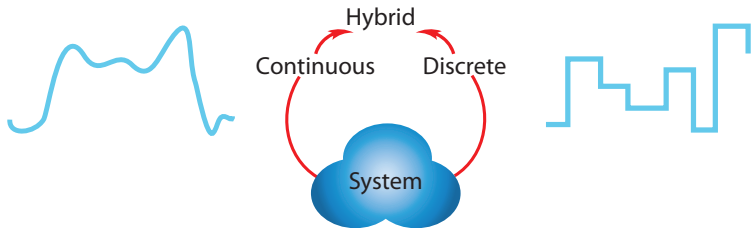
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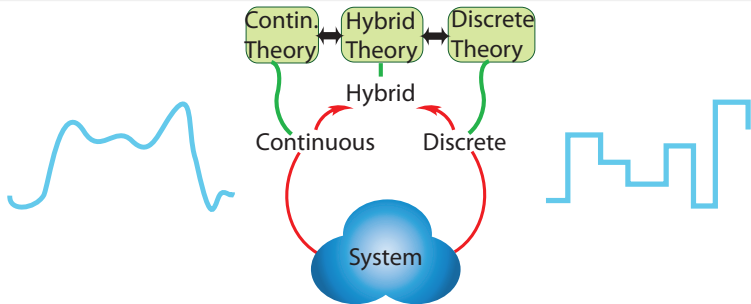
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Theorem (Soundness)

replace all occurrences of $p(\cdot)$

$$(US) \quad \frac{\phi}{\sigma(\phi)}$$

provided $FV(\sigma|_{\Sigma(\theta)}) \cap BV(\otimes(\cdot)) = \emptyset$ for each operation $\otimes(\theta)$ in ϕ

i.e. bound variables $U = BV(\otimes(\cdot))$ of **no** operator \otimes
are free in the substitution on its argument θ

(U -admissible)

$$US \frac{[a \cup b]p(\bar{x}) \leftrightarrow [a]p(\bar{x}) \wedge [b]p(\bar{x})}{[x := x + 1 \cup x' = 1]x \geq 0 \leftrightarrow [x := x + 1]x \geq 0 \wedge [x' = 1]x \geq 0}$$

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$$\frac{[v := f]p(v) \leftrightarrow p(f)}{[v := -x][x' = v]x \geq 0 \leftrightarrow [x' = -x]x \geq 0}$$

Theorem (Soundness)

replace all occurrences of $p(\cdot)$

Modular interface:
Prover vs. Logic

$$(US) \quad \frac{\phi}{\sigma(\phi)}$$

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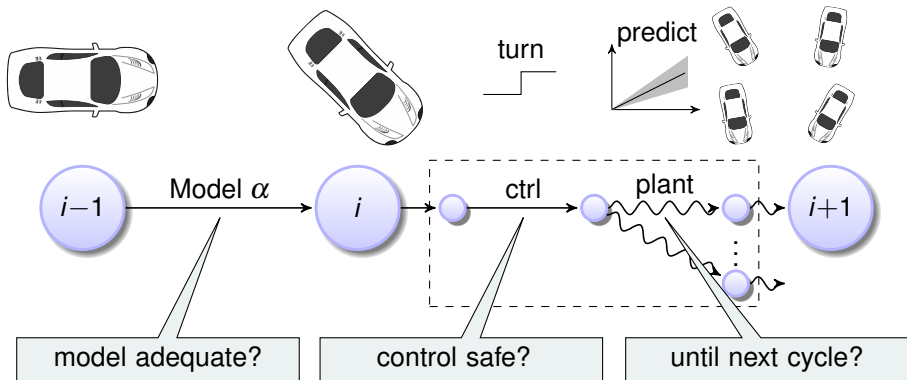
(U -admissible)

If you bind a free variable, you go to logic jail!

$$\frac{[v := f]p(v) \leftrightarrow p(f)}{[v := -x][x' = v]x \geq 0 \leftrightarrow [x' = -x]x \geq 0}$$

Clash

ModelPlex **ensures that verification results** about models
apply to CPS implementations



ModelPlex **ensures that verification results** about models
apply to CPS implementations

Insights

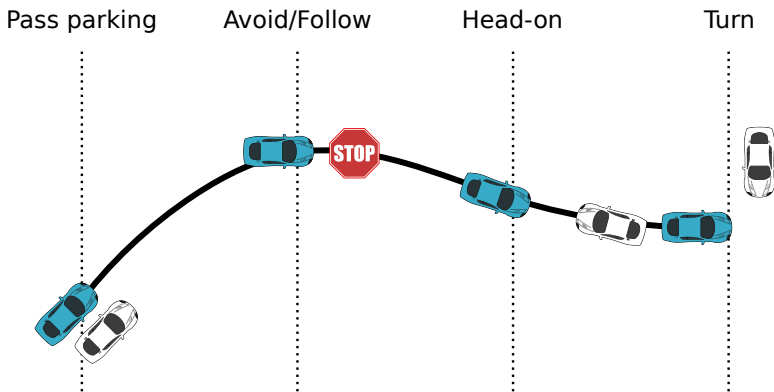
- Verification results about models transfer to the CPS when validating model compliance.
- Compliance with model is characterizable in logic dL.
- Compliance formula transformed by dL proof to monitor.
- Correct-by-construction provably correct model validation at runtime.

model adequate?

control safe?

until next cycle?

- Fundamental safety question for ground robot navigation IJRR'17
- When will which control decision avoid obstacles?
- Depends on safety objective, physical capabilities of robot + obstacle



- 1 Identified safe region for each safety notion symbolically
- 2 Proved safety for hybrid systems ground robot model in KeYmaera X

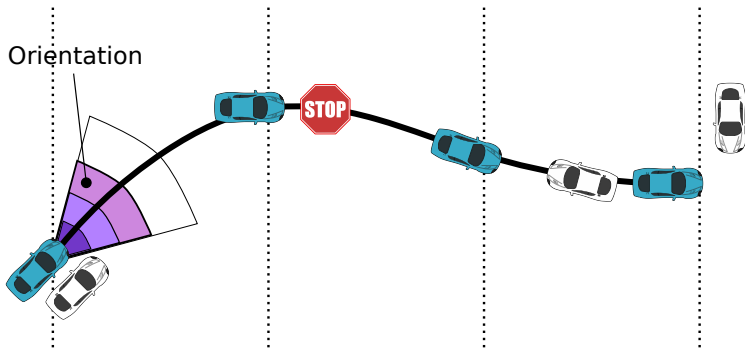
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Pass parking

Avoid/Follow

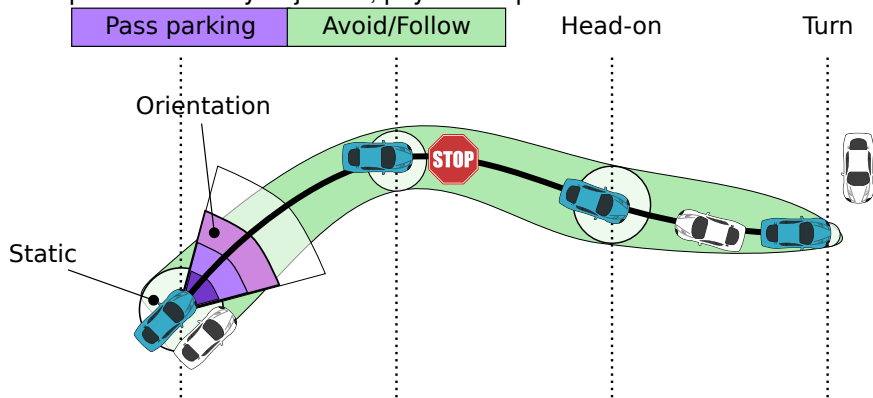
Head-on

Turn



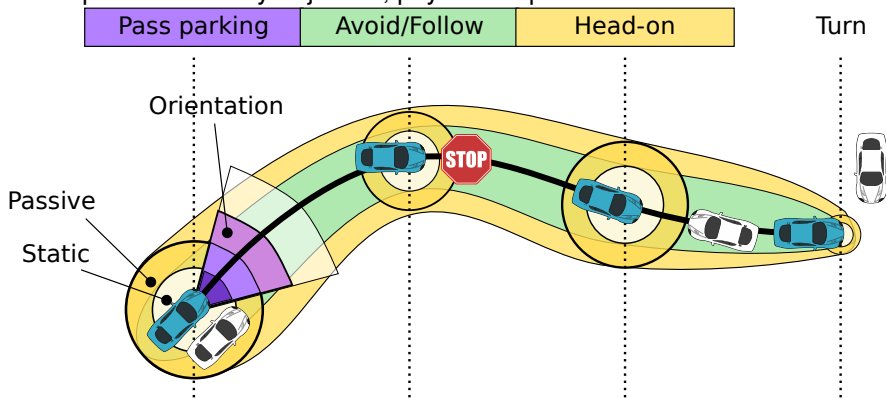
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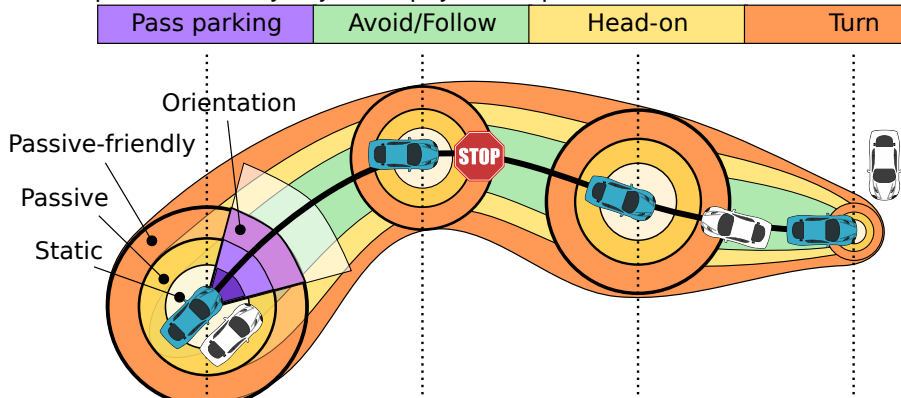
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Safety ▶

Invariant + Safe Control

$$\text{static} \quad \|p - o\|_\infty > \frac{s^2}{2b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon s\right)$$

$$\text{passive} \quad s \neq 0 \rightarrow \|p - o\|_\infty > \frac{s^2}{2b} + V\frac{s}{b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s + V)\right)$$

$$+ \text{ sensor} \quad \|\hat{p} - o\|_\infty > \frac{s^2}{2b} + V\frac{s}{b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s + V)\right) + \Delta_p$$

$$+ \text{ disturb.} \quad \|p - o\|_\infty > \frac{s^2}{2b\Delta_a} + V\frac{s}{b\Delta_a} + \left(\frac{A}{b\Delta_a} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s + V)\right)$$

$$+ \text{ failure} \quad \|\hat{p} - o\|_\infty > \frac{s^2}{2b} + V\frac{s}{b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(v + V)\right) + \Delta_p + g\Delta$$

$$\text{friendly} \quad \|p - o\|_\infty > \frac{s^2}{2b} + \frac{V^2}{2b_0} + V\left(\frac{s}{b} + \tau\right) + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s + V)\right)$$

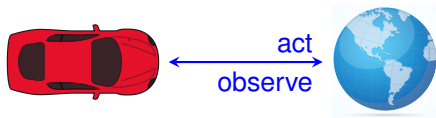
⋮

Safety	Invariant	Safe Control
static	$\ p - o\ _\infty > \frac{s^2}{2b}$	$+ \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon s\right)$
passive	$s \neq 0 \rightarrow \ p - o\ _\infty > \frac{s^2}{2b}$	$+ V\frac{s}{b} + \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s + V)\right)$
+ sensor		$+ \Delta_p$
+ disturb.	$\ p - o\ _\infty > \frac{s^2}{2b\Delta_a} + V\frac{s}{b\Delta_a}$	$+ \left(\frac{A}{b\Delta_a} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s + V)\right)$
+ failure	$\ \hat{p} - o\ _\infty > \frac{s^2}{2b} + V\frac{s}{b}$	$+ \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(v + V)\right) + \Delta_p + g\Delta$
friendly	$\ p - o\ _\infty > \frac{s^2}{2b} + \frac{V^2}{2b_0} + V\left(\frac{s}{b} + \tau\right)$	$+ \left(\frac{A}{b} + 1\right) \left(\frac{A}{2}\varepsilon^2 + \varepsilon(s + V)\right)$

Question

How to find and justify constraints? Proof!

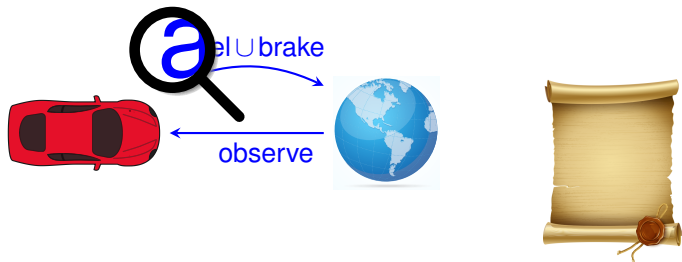
⋮



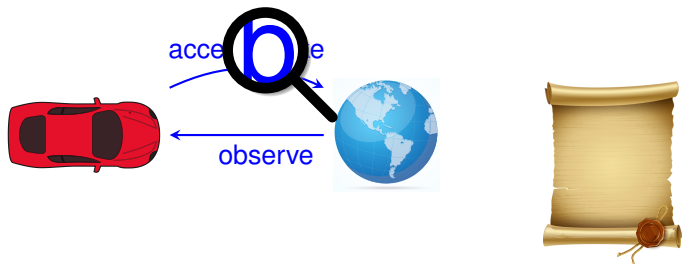
Reinforcement Learning learns from experience of trying actions



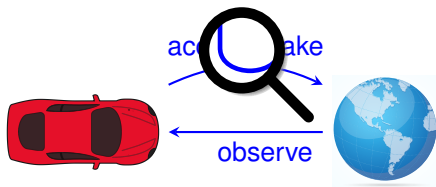
RL chooses an action, observes outcome, reinforces in policy if successful



ModelPlex monitor inspects each decision, vetoes if unsafe

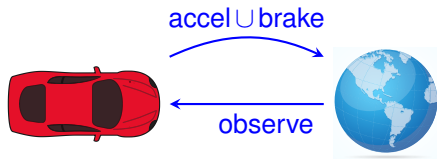


ModelPlex monitor gives early feedback about possible future problems.
No need to wait till disaster strikes and propagate back.



dL benefits from RL optimization.

RL benefits from dL safety signal.



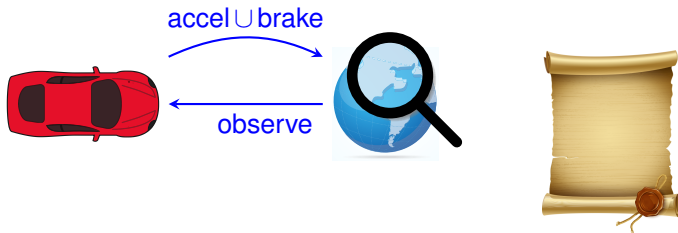
Theorem

Safe policy if ODE accurate

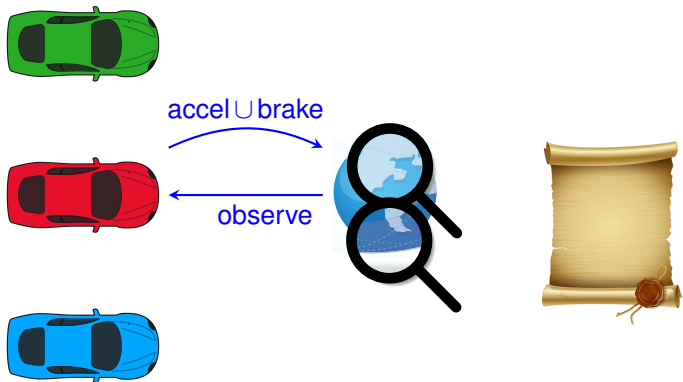
Experiment

Graceful recovery outside ODE \Leftarrow quantitative ModelPlex

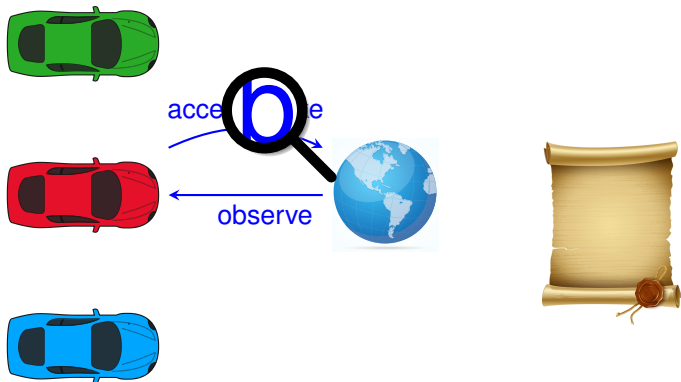
Detect modeled versus unmodeled state space \Leftarrow ModelPlex



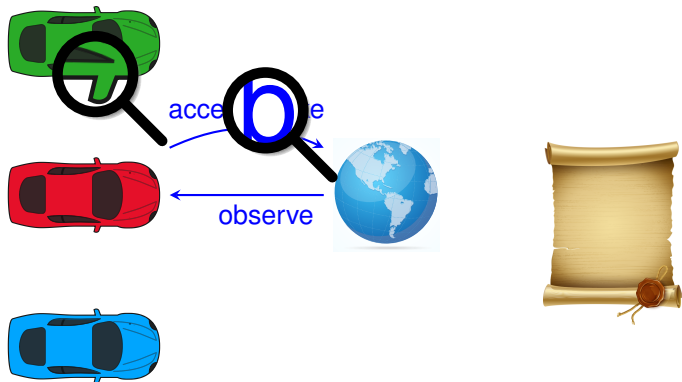
What's safe when off model?



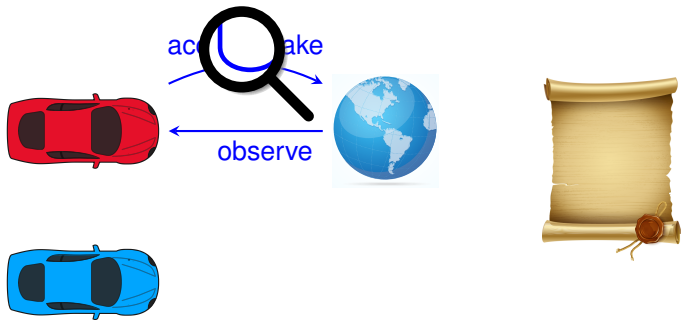
What's safe with multiple possible models?



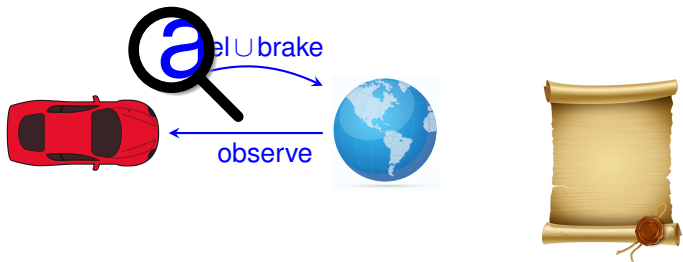
ModelPlex monitors conjunction of all plausible models



Remove incompatible models after contradictory observation



Plan differentiating experiment \leftarrow predictive monitor distinctions



Convergence

Plausible models converge to true model a.s., if possible



Modify model to fit observations by verification-preserving model update.
Safety proofs reified: modify model + proof tactic to preserve fit + safety



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