

Cosmic-Ray Anisotropy

– Galactic Cosmic-Ray Puzzles (Forever Mystery?) –

Markus Ahlers

UW-Madison & WIPAC

*“Multi-Messenger Approaches to Cosmic Rays:
Origins and Space Frontiers”*

State College, June 21, 2016



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Phase-Space Distribution I

- cosmic-ray (CR) arrival directions described by phase-space distribution

$$f(t, \mathbf{x}, \mathbf{p}) = \underbrace{\phi(t, \mathbf{x}, p)/(4\pi)}_{\text{monopole}} + 3 \hat{\mathbf{p}} \underbrace{\Phi(t, \mathbf{x}, p)/(4\pi)}_{\text{dipole}} + \dots$$

- local CR spectral density [$\text{GeV}^{-1} \text{cm}^{-3}$]

$$n_{\text{CR}}(p) = \frac{1}{T_{\text{exp}}} \int_0^{T_{\text{exp}}} dt p^2 \underbrace{\phi(t, p)}_{\propto p^{-(\Gamma_{\text{CR}}+2)}} \propto p^{-\Gamma_{\text{CR}}}$$

- in the absence of sources, follows Liouville's equation, $\dot{f} = 0$, or

$$\partial_t f + \dot{\mathbf{x}} \nabla_{\mathbf{x}} f + \dot{\mathbf{p}} \nabla_{\mathbf{p}} f = 0$$

- from here on:** neglect energy losses and assume $p \gg m$
- rotation in regular ($\boldsymbol{\Omega}$) and turbulent ($\boldsymbol{\omega}(\mathbf{x})$) fields with operator $\mathbf{L} \equiv -\mathbf{p} \times \nabla_{\mathbf{p}}$:

$$\dot{\mathbf{p}} = -(\boldsymbol{\Omega} + \boldsymbol{\omega}(\mathbf{x})) \times \mathbf{p} \quad \text{and} \quad \dot{\mathbf{p}} \nabla_{\mathbf{p}} \rightarrow -i(\boldsymbol{\Omega} + \boldsymbol{\omega}(\mathbf{x}))\mathbf{L}$$

Phase-Space Distribution II

- splitting the phase space distribution into $f = \langle f \rangle + \delta f$ with the magnetic ensemble-average $\langle f \rangle$ (assuming 3D-isotropic turbulence)

$$\partial_t \langle f \rangle + \hat{\mathbf{p}} \nabla_{\mathbf{x}} \langle f \rangle - i\Omega \mathbf{L} \langle f \rangle = \langle i\omega \mathbf{L} \delta f \rangle$$

- + BGK approximation,

[Bhatnagar, Gross & Krook '54]

$$\langle i\omega \mathbf{L} \delta f \rangle \rightarrow \underbrace{-\nu [\langle f \rangle - \phi / (4\pi)]}_{\text{isotropization}}$$

- + dipole approximation,

$$\partial_t \phi + \nabla_{\mathbf{x}} \Phi \simeq 0 \quad \text{and} \quad \partial_t \Phi + (1/3) \nabla_{\mathbf{x}} \phi + \Omega \times \Phi \simeq -\nu \Phi$$

- + diffusion approximation, $\partial_t \Phi \simeq 0$,

$$\underbrace{\partial_t \phi \simeq \nabla_{\mathbf{x}} (\mathbf{K} \nabla_{\mathbf{x}} \phi)}_{\text{diffusion equation}} \quad \text{and} \quad \underbrace{\Phi \simeq -\mathbf{K} \nabla_{\mathbf{x}} \phi}_{\text{Fick's law}}$$

- diffusion tensor \mathbf{K} (in BGK approximation)

$$K_{ij} = \underbrace{\frac{1}{3\nu} \hat{B}_i \hat{B}_j}_{\text{parallel}} + \underbrace{\frac{\nu}{3(\nu^2 + \Omega^2)} (\delta_{ij} - \hat{B}_i \hat{B}_j)}_{\text{perpendicular}} + \underbrace{\frac{\Omega}{3(\nu^2 + \Omega^2)} \epsilon_{ijk} \hat{B}_k}_{\text{drift}}$$

CR Dipole Anisotropy

- diffusion tensor \mathbf{K} (general):

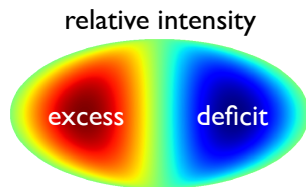
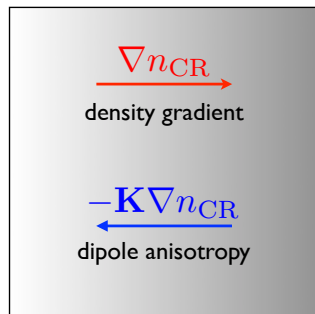
$$K_{ij} = \frac{\hat{B}_i \hat{B}_j}{3\nu_{\parallel}} + \frac{\delta_{ij} - \hat{B}_i \hat{B}_j}{3\nu_{\perp}} + \frac{\epsilon_{ijk} \hat{B}_k}{3\nu_A}$$

- expected **dipole anisotropy**:

$$\delta \equiv \frac{f_{\max} - f_{\min}}{f_{\max} + f_{\min}} = 3\mathbf{K} \frac{\nabla n_{\text{CR}}}{n_{\text{CR}}}$$

- amplitude and phase depend on:

- rigidity dependence of diffusion, $\mathbf{K} \propto \rho^{0.3-0.6}$
- observational limitations! → Dan's talk
- (local) source distribution [Erykin & Wolfendale'06]
[Blasi & Amato'12; Sveshnikova *et al.*'13; Pohl & Eichler'13]
- (local) ordered magnetic field \mathbf{B}
[e.g. Schwadron *et al.*'14; Mertsch & Funk'14]
- relative velocity of the medium [Compton & Getting'35]



Compton-Getting Effect

- phase-space distribution is Lorentz-invariant, $f^*(\mathbf{p}^*) = f(\mathbf{p})$
- Lorentz boost (starred quantities in plasma rest-frame):

[Forman'70]

$$\mathbf{p}^* = \mathbf{p} + \left(p + \frac{1}{2} \boldsymbol{\beta} \cdot \mathbf{p} \right) \boldsymbol{\beta} + \mathcal{O}(\beta^3)$$

- Taylor expansion

$$f(\mathbf{p}) \simeq f^*(\mathbf{p}) + (\mathbf{p}^* - \mathbf{p}) \nabla_{\mathbf{p}^*} f^*(\mathbf{p}) + \mathcal{O}(\beta^2) \simeq f^*(\mathbf{p}) + p \boldsymbol{\beta} \nabla_{\mathbf{p}^*} f^*(\mathbf{p}) + \mathcal{O}(\beta^2)$$

→ splitting in ϕ and Φ is not invariant:

[Compton & Getting'35; Jones'90]

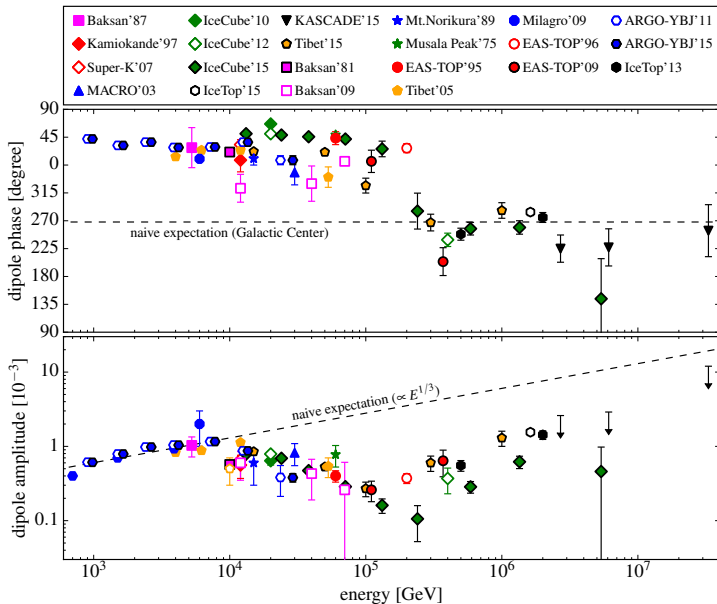
$$\phi = \phi^* \quad \Phi = \Phi^* + \frac{1}{3} \boldsymbol{\beta} \frac{\partial \phi^*}{\partial \ln p}$$

- remember: $\phi \sim p^{-2} n_{\text{CR}} \propto p^{-(2+\Gamma_{\text{CR}})}$

$$\delta = \delta^* + \underbrace{(2 + \Gamma_{\text{CR}}) \boldsymbol{\beta}}_{\text{Compton-Getting effect}}$$

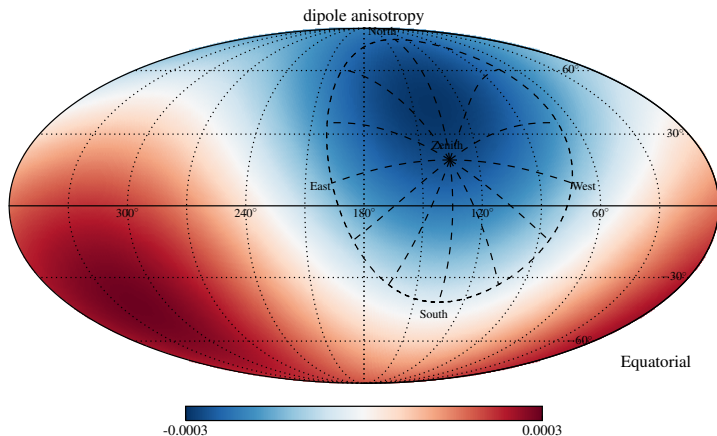
✗ However, what is the correct plasma rest-frame?

Observed Dipole Amplitude and Phase



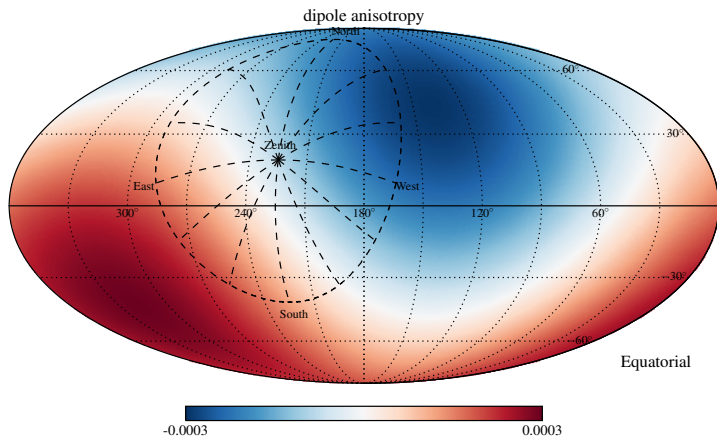
[MA'16]

Observational Limitations



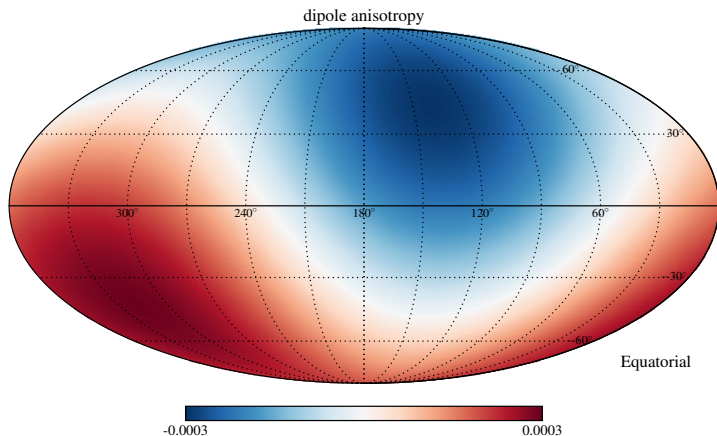
- ground-based detectors are calibrated by CR data → **reduces anisotropies**
- true CR dipole defined by amplitude A_1 , and orientation (RA,DEC) = (α_1, δ_1)
- ✗ observable only **projected dipole** with amplitude $A_1 \cos \delta_1$ and orientation $(\alpha_1, 0)$
- ✗ further problems by limited field of view (cross-talk with small-scale structure)

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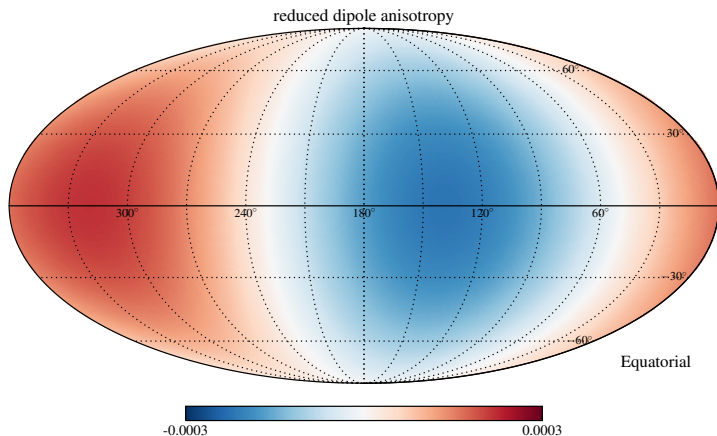
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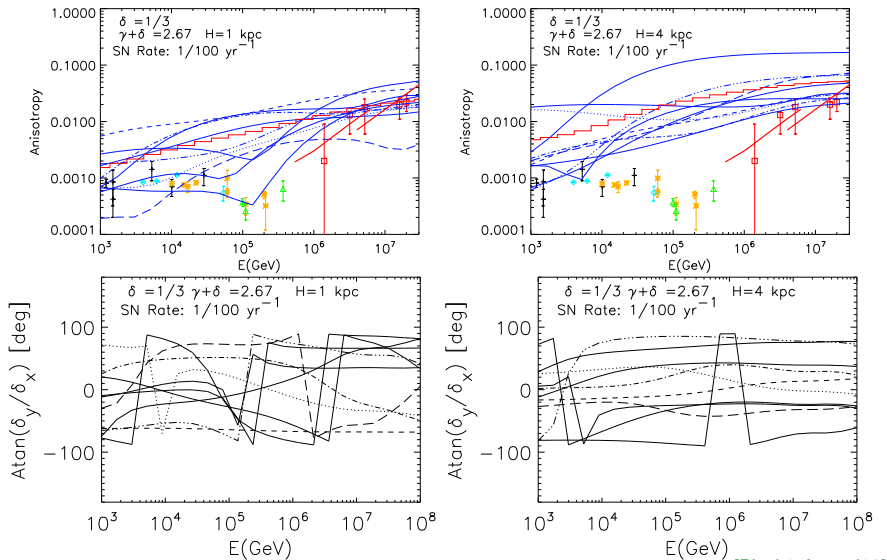
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Local Sources



[Blasi & Amato'12]

[Erykin & Wolfendale'06; Sveshnikova *et al.*'13; Pohl & Eichler'13]

Local Magnetic Field

- strong ordered magnetic fields in the local environment

→ diffusion tensor reduces to projector:

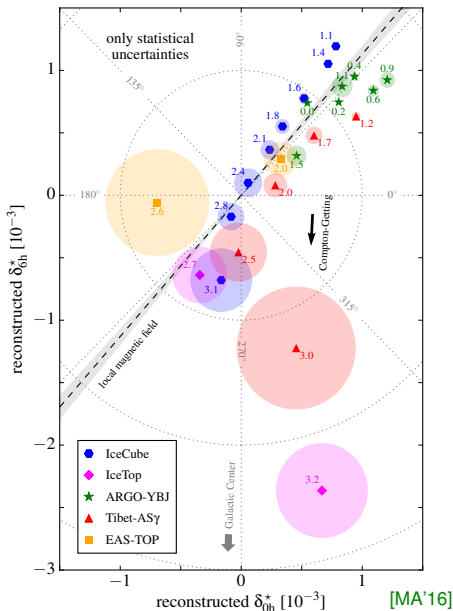
$$K_{ij} \rightarrow \frac{\hat{B}_i \hat{B}_j}{3\nu_{\parallel}}$$

- TeV–PeV dipole data consistent with magnetic field direction inferred by IBEX data [McComas *et al.*'09]
- 1–100 TeV phase indicates a local gradient within longitudes:

$$120^{\circ} \lesssim l \lesssim 300^{\circ}$$

- phase flip induced by Vela SNR? [MA'16]
- or a luminous 2Myr old SNR? [Savchenko, Kachelrieß & Semikoz'15]

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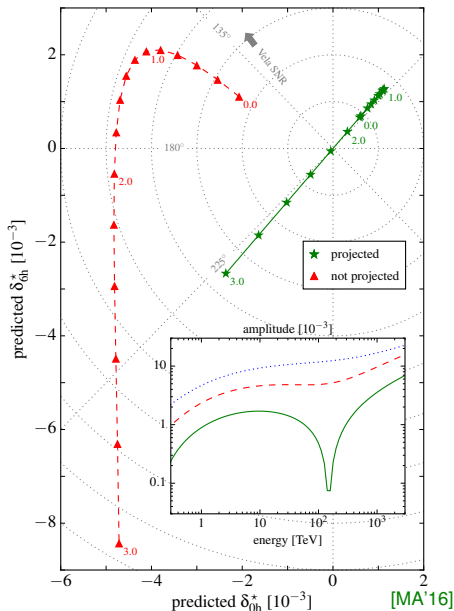
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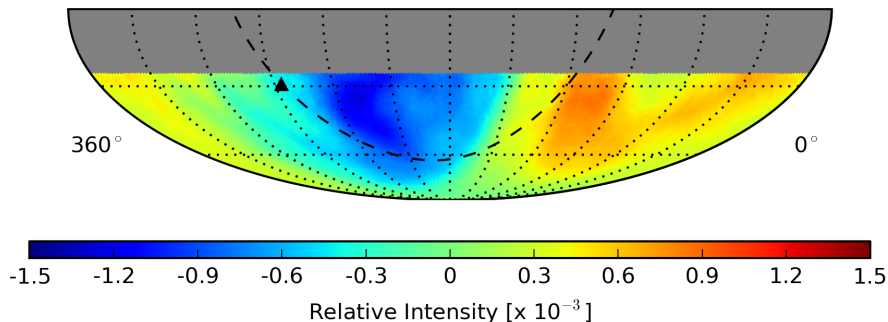
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Map of CR Arrival Directions

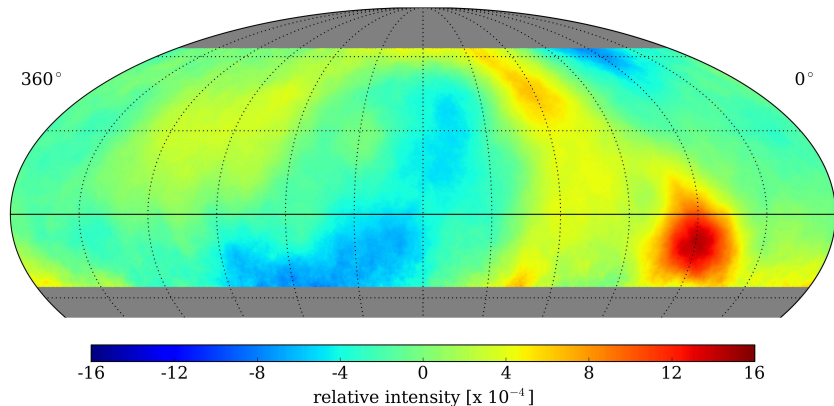
- Cosmic ray anisotropies up to the level of one-per-mille have been observed at various energies [Super-Kamiokande'07; Milagro'08; ARGO-YBJ'09,'13;EAS-TOP'09] [Tibet AS- γ '05,'06,'15;IceCube'10,'11,'16; HAWC'13,'14]



$$E_{\text{CR}} \simeq 10 \text{ TeV}, N_{\text{CR}} \sim 3.2 \times 10^{11} \text{ [IceCube (IC59-IC86-IV)'16]}$$

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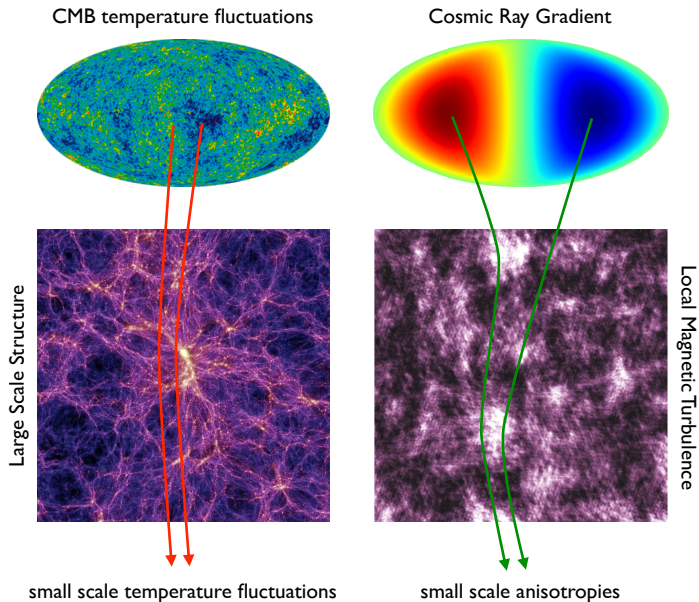


$$E_{\text{CR}} \simeq 1 \text{ TeV}, N_{\text{CR}} \sim 4.9 \times 10^{10} \text{ [HAWC'14]}$$

Suggested Origin of Small-Scale Anisotropy

- local magnetic field structure with energy-dependent magnetic mirror leakage [Drury & Aharonian'08]
 - preferred CR transport directions [Malkov, Diamond, Drury & Sagdeev'10]
 - magnetic reconnections in the heliotail [Lazarian & Desiati'10]
 - non-isotropic particle transport in the heliosheath [Desiati & Lazarian'11]
 - heliospheric electric field structure [Drury'13]
 - magnetized outflow from old SNRs [Biermann, Becker, Seo & Mandelartz'12]
 - strangelet production in molecular clouds or neutron stars [Kotera, Perez-Garcia & Silk '13]
- small-scale anisotropies from local magnetic field mapping of a global dipole [Giacinti & Sigl'12; MA'14; MA & Mertsch'15]

Analogy to Gravitational Lensing



Gedanken Experiment

- **Idea:** local realization of magnetic turbulence introduces small-scale structure
[Giacinti & Sigl'11]
- Particle transport in (static) magnetic fields is governed by Liouville's equation of the CR's phase-space distribution f :

$$\dot{f}(t, \mathbf{x}, \mathbf{p}) = 0$$

- “trivial” solution:

$$f(0, \mathbf{0}, \mathbf{p}) = f(-T, \mathbf{x}(-T), \mathbf{p}(-T))$$

- *Gedanken Experiment:*

Assume that at look-back time $-T$ initial condition is **homogenous, but not isotropic**:

$$f(0, \mathbf{0}, \mathbf{p}) = \tilde{f}(\mathbf{p}(-T))$$

Angular Power Spectrum

- Every smooth function $g(\theta, \phi)$ on a sphere can be decomposed in terms of spherical harmonics Y_m^ℓ :

$$g(\theta, \phi) = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} a_{\ell m} Y_{\ell}^m(\theta, \phi) \quad \leftrightarrow \quad a_{\ell m} = \int d\Omega (Y_{\ell}^m)^*(\theta, \phi) g(\theta, \phi)$$

- **angular power spectrum:**

$$C_{\ell} = \frac{1}{2\ell + 1} \sum_{m=-\ell}^{\ell} |a_{\ell m}|^2$$

- related to the **two-point auto-correlation function**: ($\mathbf{n}_{1/2}$: unit vectors, $\mathbf{n}_1 \cdot \mathbf{n}_2 = \cos \eta$)

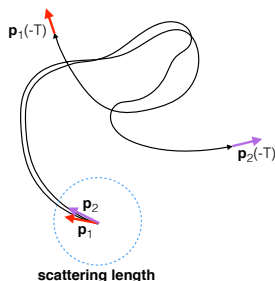
$$\xi(\eta) = \frac{1}{8\pi^2} \int d\mathbf{n}_1 \int d\mathbf{n}_2 \delta(\mathbf{n}_1 \mathbf{n}_2 - \cos \eta) g(\mathbf{n}_1) g(\mathbf{n}_2) = \frac{1}{4\pi} \sum_{\ell} (2\ell + 1) C_{\ell} P_{\ell}(\cos \eta)$$

- Note that individual C_{ℓ} 's are **independent** of coordinate system (assuming full sky coverage).

Gedanken Experiment (continued)

- Initial configuration has power spectrum \tilde{C}_ℓ .
- For small correlation angles η flow remains correlated even beyond scattering sphere.
- Correlation function for $\eta = 0$:

$$\xi(0) = \frac{1}{4\pi} \int d\hat{\mathbf{p}}_1 \tilde{f}^2(\mathbf{p}_1(-T))$$



- On **average**, the rotation in an *isotropic* random rotation in the turbulent magnetic field leaves an isotropic distribution on a sphere **invariant**:

$$\langle \xi(0) \rangle = \frac{1}{4\pi} \int d\hat{\mathbf{p}}_1 \tilde{f}^2(\mathbf{p}_1)$$

→ The weighted sum of $\langle C_\ell \rangle$'s remains constant:

$$\frac{1}{4\pi} \sum_{\ell \geq 0} (2\ell + 1) \tilde{C}_\ell = \frac{1}{4\pi} \sum_{\ell \geq 0} (2\ell + 1) \langle C_\ell(T) \rangle$$

Evolution Model

- Diffusion theory motivates that each $\langle C_\ell \rangle$ decays exponentially with an effective **relaxation rate** [Yosida'49]

$$\nu_\ell \propto \mathbf{L}^2 \propto \ell(\ell + 1)$$

- A **linear** $\langle C_\ell \rangle$ evolution equation with generation rates $\nu_{\ell \rightarrow \ell'}$ requires:

$$\partial_t \langle C_\ell \rangle = -\nu_\ell \langle C_\ell \rangle + \sum_{\ell' \geq 0} \nu_{\ell' \rightarrow \ell} \frac{2\ell' + 1}{2\ell + 1} \langle C_{\ell'} \rangle \quad \text{with} \quad \nu_\ell = \sum_{\ell' \geq 0} \nu_{\ell \rightarrow \ell'}$$

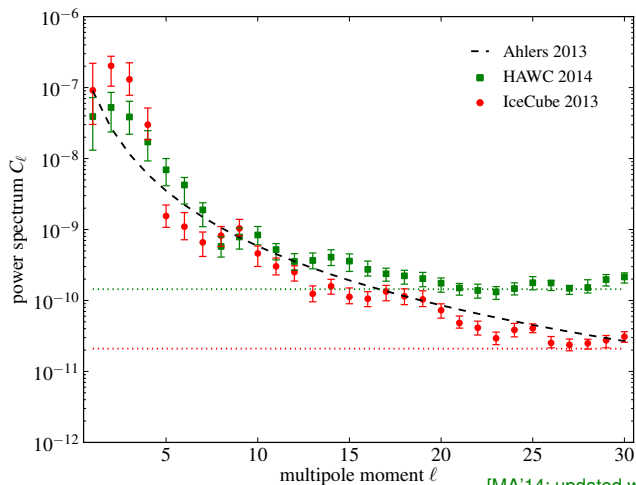
- For $\nu_\ell \simeq \nu_{\ell \rightarrow \ell+1}$ and $\tilde{C}_\ell = 0$ for $l \geq 2$ this has the analytic solution:

$$\langle C_\ell \rangle(T) \simeq \frac{3\tilde{C}_1}{2\ell + 1} \prod_{m=1}^{\ell-1} \nu_m \sum_n \prod_{p=1(\neq n)}^{\ell} \frac{e^{-T\nu_n}}{\nu_p - \nu_n}$$

- For $\nu_\ell \simeq \ell(\ell + 1)\nu$ we arrive at a **finite asymptotic ratio**:

$$\lim_{T \rightarrow \infty} \frac{\langle C_\ell \rangle(T)}{\langle C_1 \rangle(T)} \simeq \frac{18}{(2\ell + 1)(\ell + 2)(\ell + 1)}$$

Comparison with CR Data



$$\lim_{T \rightarrow \infty} \frac{\langle C_\ell \rangle(T)}{\langle C_1 \rangle(T)} \simeq \frac{18}{(2\ell + 1)(\ell + 2)(\ell + 1)}$$

Multipole Cross-Talk

- relative intensity

$$I(\alpha, \delta) = 1 + \sum_{\ell \geq 1} \sum_{m \neq 0} a_{\ell m} Y_{\ell m}(\alpha, \pi/2 - \delta)$$

- dipole: $a_{1-1} = (\delta_{0h} + i\delta_{6h})\sqrt{2\pi/3}$ and $a_{11} = -a_{1-1}^*$
- traditional dipole analyses** extract amplitude “ A_1 ” and phase “ α_1 ” from data projected into right ascension ($s_{1/2} \equiv \sin \delta_{1/2}$)

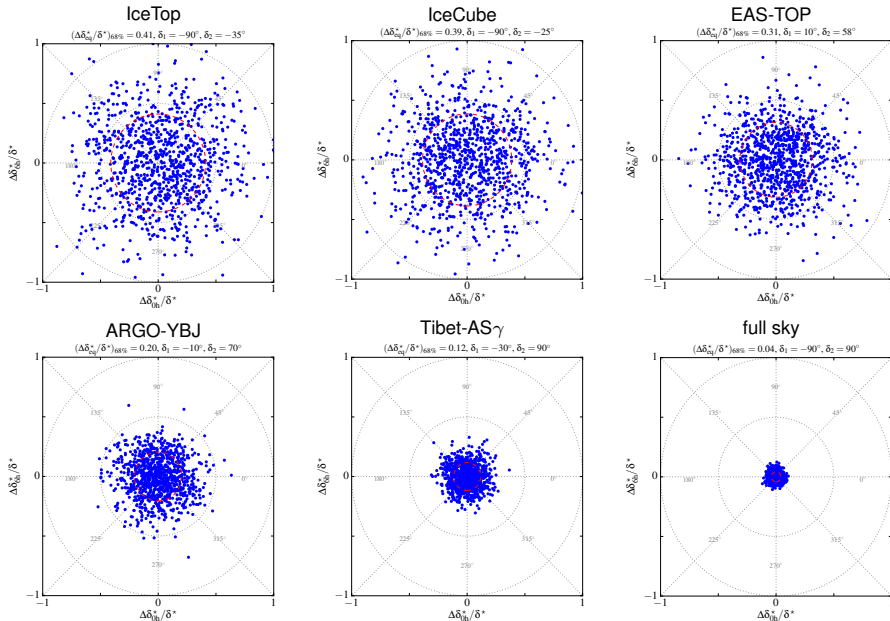
$$A_1 e^{i\alpha_1} = \frac{1}{\pi} \int_0^{2\pi} d\alpha e^{i\alpha} \underbrace{\frac{1}{s_2 - s_1} \int_{s_1}^{s_2} d \sin \delta I(\alpha, \delta)}_{\text{projection}}$$

- the presence of high- ℓ multipole moments introduces **cross-talk**:

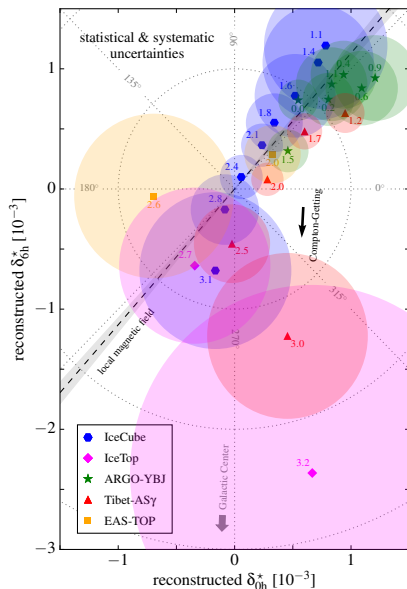
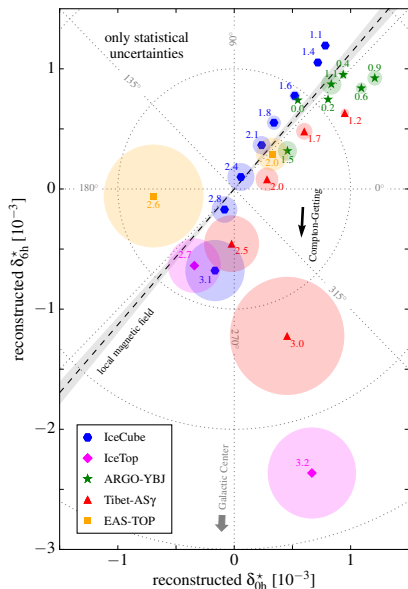
$$A_1 e^{i\alpha_1} = \sum_{\ell} \frac{1}{s_1 - s_2} \sqrt{\frac{(2\ell + 1)}{\pi \ell(\ell + 1)}} \int_{s_1}^{s_2} ds P_{\ell}^1(s) a_{\ell-1}$$

- Can now estimate the **systematic uncertainties** of dipole measures from dipole-induced small-scale power spectrum.

Systematic Uncertainty of CR Dipole



Systematic Uncertainty of CR Dipole



Simulation via Backtracking

- Consider a local (quasi-)stationary solution of the **diffusion approximation**:

$$4\pi \langle f \rangle \simeq \phi + \underbrace{\mathbf{r} \nabla \phi - 3 \hat{\mathbf{p}} \mathbf{K} \nabla \phi}_{\text{1st linear correction}}$$

- backtracking over long time-scales T and evaluating in mean field

$$4\pi f_i \simeq 4\pi \underbrace{\delta f(-T, \mathbf{r}_i(-T), \mathbf{p}_i(-T))}_{\text{deviation from } \langle f \rangle} + \phi + [\mathbf{r}_i(-T) - 3\hat{\mathbf{p}}_i(-T)\mathbf{K}] \nabla \phi$$

- Ensemble-averaged C_ℓ 's ($\ell \geq 1$):

[MA & Mertsch'15]

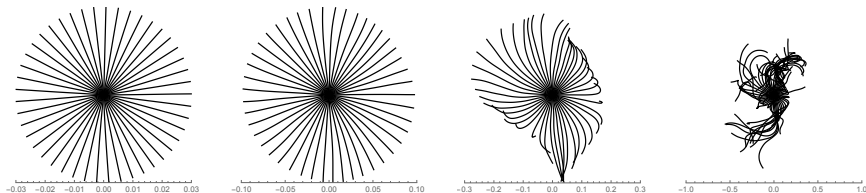
$$\frac{\langle C_\ell \rangle}{4\pi} \simeq \int \frac{d\hat{\mathbf{p}}_1}{4\pi} \int \frac{d\hat{\mathbf{p}}_2}{4\pi} P_\ell(\hat{\mathbf{p}}_1 \hat{\mathbf{p}}_2) \lim_{T \rightarrow \infty} \underbrace{\langle \mathbf{r}_{1i}(-T) \mathbf{r}_{2j}(-T) \rangle}_{\text{relative diffusion}} \frac{\partial_i n_{\text{CR}} \partial_j n_{\text{CR}}}{n_{\text{CR}}^2}$$

- Sum of $\langle C_\ell \rangle$ **related to diffusion tensor**:

$$\frac{1}{4\pi} \sum_{\ell \geq 0} (2\ell + 1) \langle C_\ell \rangle \simeq 2TK_{ij}^s \frac{\partial_i n_{\text{CR}} \partial_j n_{\text{CR}}}{n_{\text{CR}}^2}$$

Simulation via Backtracking

- CR arrival direction determined by backtracking of CRs towards a **homogeneous initial dipole anisotropy** (ballistic \rightarrow laminar \rightarrow turbulent)



- Kolmogorov turbulence with energy density comparable to \mathbf{B}_0
- two cases: dipole vector aligned with or perpendicular to \mathbf{B}_0
- asymptotically **limited by simulation noise**:

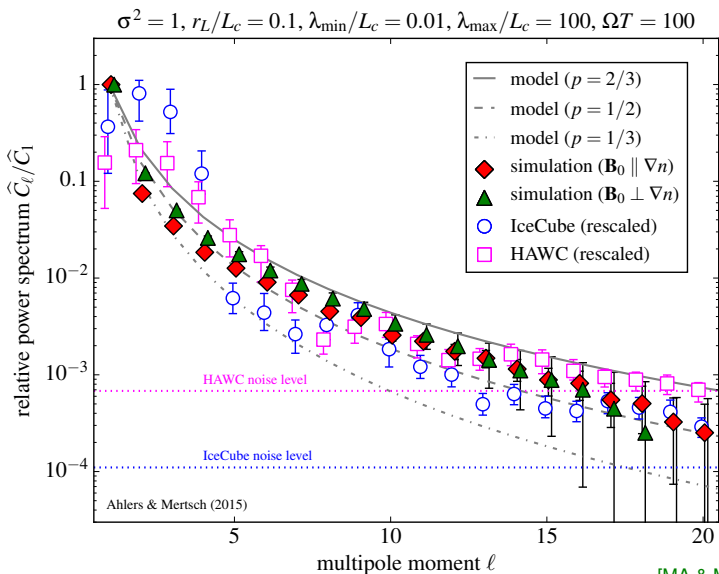
$$\mathcal{N} \simeq \frac{4\pi}{N_{\text{pix}}} 2TK_{ij}^s \frac{\partial_i n_{\text{CR}} \partial_j n_{\text{CR}}}{n_{\text{CR}}^2}$$

Movie 1 (link): Sample map of $z(-T)$ with $\mathbf{B} = B_0 \mathbf{e}_z$ (monopole & dipole removed)

Movie 2 (link): Power spectrum convergence for $\nabla n_{\text{CR}} \parallel \mathbf{B}$.

Movie 3 (link): Power spectrum convergence for $\nabla n_{\text{CR}} \perp \mathbf{B}$.

Comparison to CR Data



[MA & Mertsch'15]

Summary

- **Large scale anisotropy** can be understood in the context of standard diffusion theory:
 - Dipole phase aligns with local ordered magnetic field.
 - Amplitude variations as a result of local sources (**Vela?**).
- Need better data (reconstruction & analysis methods).
- **Small-scale anisotropy** can be a result of local magnetic turbulence:
 - Effect analogous to induced high- ℓ multipoles in CMB temperature power spectrum from **gravitational lensing** in small-scale structure.
 - Ensemble-averaged C_ℓ 's ($\ell \geq 1$) related to **relative diffusion**:

$$\frac{\langle C_\ell \rangle}{4\pi} \simeq \int \frac{d\hat{\mathbf{p}}_1}{4\pi} \int \frac{d\hat{\mathbf{p}}_2}{4\pi} P_\ell(\hat{\mathbf{p}}_1 \hat{\mathbf{p}}_2) \lim_{T \rightarrow \infty} \underbrace{\langle \mathbf{r}_{1i}(-T) \mathbf{r}_{2j}(-T) \rangle}_{\text{relative diffusion}} \frac{\partial_i n_{\text{CR}} \partial_j n_{\text{CR}}}{n_{\text{CR}}^2}$$

- **issues:** damping effects for CR rigidity distributions, partial sky coverage of experiments, angular resolution, noise. . .

Thank you for your attention!

Appendix

Local Description: Relative Scattering

- evolution of C_ℓ 's:

[MA & Mertsch'15]

$$\partial_t \langle C_\ell \rangle = -\frac{1}{2\pi} \int d\hat{\mathbf{p}}_1 \int d\hat{\mathbf{p}}_2 P_\ell(\hat{\mathbf{p}}_1 \hat{\mathbf{p}}_2) \langle (\mathbf{p}_1 \nabla f_1 + i\omega \mathbf{L} f_1) f_2 \rangle$$

- large-scale dipole anisotropy gives an effective “source term”:

$$-\frac{1}{2\pi} \int d\hat{\mathbf{p}}_1 \int d\hat{\mathbf{p}}_2 P_\ell(\hat{\mathbf{p}}_1 \hat{\mathbf{p}}_2) \langle (\mathbf{p}_1 \nabla f_1) f_2 \rangle \rightarrow Q_1 \delta_{\ell 1}$$

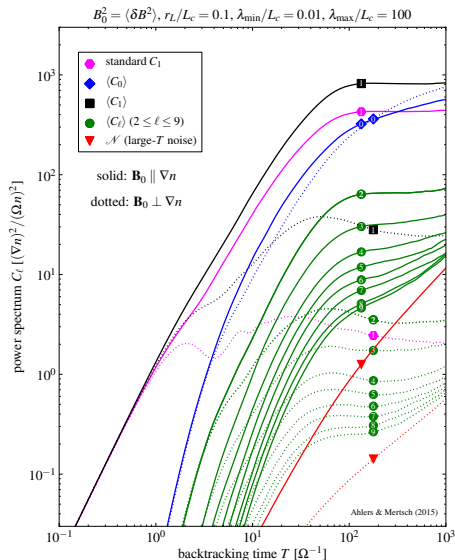
- BGK-like Ansatz for scattering term ($\langle i\omega \mathbf{L} f \rangle \rightarrow -\frac{\nu}{2} \mathbf{L}^2 \langle f \rangle$) [Bhatnagar, Gross & Krook'54]

$$-\frac{1}{2\pi} \int d\hat{\mathbf{p}}_1 \int d\hat{\mathbf{p}}_2 P_\ell(\hat{\mathbf{p}}_1 \hat{\mathbf{p}}_2) \langle (i\omega \mathbf{L} f_1) f_2 \rangle \rightarrow \frac{1}{2\pi} \int d\hat{\mathbf{p}}_1 \int d\hat{\mathbf{p}}_2 P_\ell(\hat{\mathbf{p}}_1 \hat{\mathbf{p}}_2) \tilde{\nu}(\hat{\mathbf{p}}_1 \hat{\mathbf{p}}_2) \mathbf{L}^2 \langle f_1 f_2 \rangle$$

- Note that $\tilde{\nu}(1) = 0$ for vanishing regular magnetic field.

$$\tilde{\nu}(x) \simeq \nu_0 (1-x)^p$$

Convergence of Power Spectrum



[MA & Mertsch'15]

Simulated Turbulence

- 3D-isotropic turbulence:

[Giacalone & Jokipii'99]

$$\delta\mathbf{B}(\mathbf{x}) = \sum_{n=1}^N A(k_n) (\mathbf{a}_n \cos \alpha_n + \mathbf{b}_n \sin \alpha_n) \cos(\mathbf{k}_n \mathbf{x} + \beta_n)$$

- α_n and β_n are random phases in $[0, 2\pi)$, unit vectors $\mathbf{a}_n \propto \mathbf{k}_n \times \mathbf{e}_z$ and $\mathbf{b}_n \propto \mathbf{k}_n \times \mathbf{a}_n$
- with amplitude

$$A^2(k_n) = \frac{2\sigma^2 B_0^2 G(k_n)}{\sum_{n=1}^N G(k_n)} \quad \text{with} \quad G(k_n) = 4\pi k_n^2 \frac{k_n \Delta \ln k}{1 + (k_n L_c)^\gamma}$$

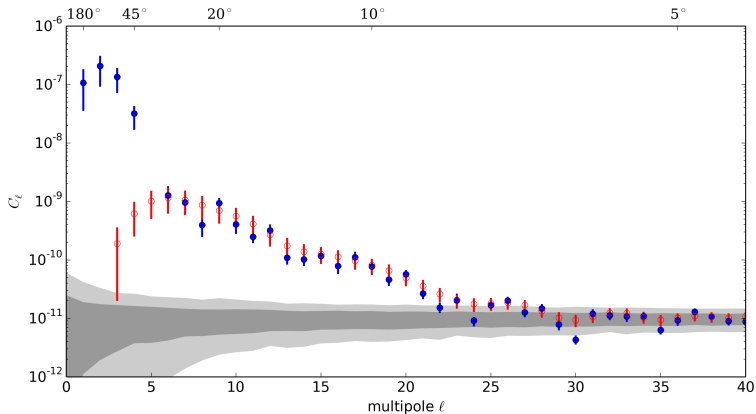
- Kolmogorov-type turbulence: $\gamma = 11/3$
- $N = 160$ wavevectors \mathbf{k}_n with $|\mathbf{k}_n| = k_{\min} e^{(n-1)\Delta \ln k}$ and $\Delta \ln k = \ln(k_{\max}/k_{\min})/N$
- $\lambda_{\min} = 0.01L_c$ and $\lambda_{\max} = 100L_c$ [Fraschetti & Giacalone'12]
- rigidity: $r_L = 0.1L_c$
- turbulence level: $\sigma^2 = \mathbf{B}_0^2 / \langle \delta\mathbf{B}^2 \rangle = 1$

Powerspectrum of CR Arrival Directions

→ Cosmic ray anisotropies up to the level of one-per-mille have been observed at various energies

[Tibet AS- γ '05,'06; Super-Kamiokande'07; Milagro'08; ARGO-YBJ'09,'13;EAS-TOP'09]

[IceCube'10,'11; HAWC'13,'14]



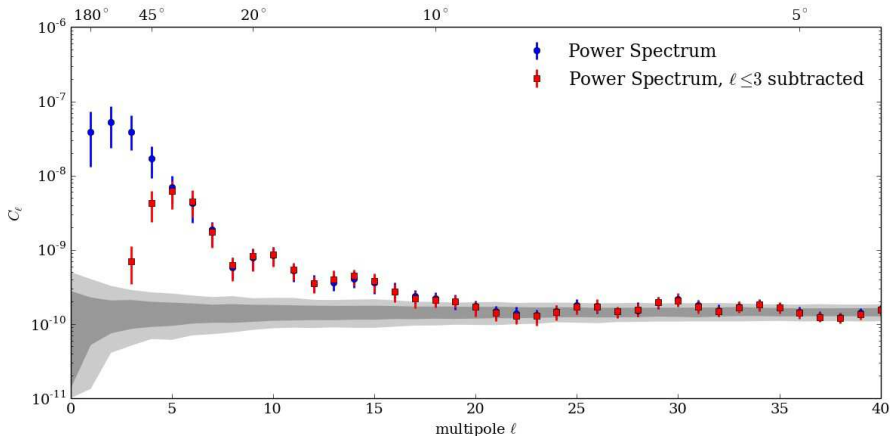
[IceCube [arXiv:1603.01227]]

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[HAWC [arXiv:1408.4805]; note: low- ℓ power under-estimated]