



Disclosure Requirements

After you send in your form, Penn State may follow up with you to ask for more details or to clarify any questions about your financial interests. Penn State will then review your form and decide if a Financial Conflict of Interest (FCOI) exists. If one does exist, Penn State will decide if and how to manage the conflict/FOCI. If your research activities are supported by a grant or contract, Penn State may supply the details of the FCOI to the sponsoring agency.

Before completing this disclosure form, [please click this link](#) and read the SFI Training Document. Then, supply your initials below.

Section 2: Provide Your Contact Information

Your Name:	Home Institution/Company:
Your Email Address:	Home Institution/Company Website:
Your Mailing Address:	Your Telephone Number:

1. Describe any income or funding you received, or expect to receive, for your travel to Penn State, living expenses while at Penn State, and/or your research at Penn State. Include salary, compensation, stipends, tuition and fees, and / or travel funding.

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2. Will you be involved in human subjects research while visiting Penn State? Yes ☐ No ☐

3. Did **you, your spouse, or your dependent children** receive any of the following financial interests **in the past 12 months?** (Attach additional pages, if needed.) Review this [resource](#) for examples of SFI.

- Answer “Yes” or “No” for each significant financial interest in the table.
- Only answer “Yes” if the financial interest is **related to your Penn State research activities**.

Nature of the Significant Financial Interest	No	Yes	If Yes, Name of the Entity / Entities	Value
3.a Income in and/or from a Public Company Income from a public company in the past 12 months that when combined with any stock, stock options, or other ownership interest, adds up to \$5,000 or more? (Public companies are typically corporations and are ones that are traded on stock markets or similar exchanges.)	<input type="checkbox"/>	<input type="checkbox"/>		\$
3.b Equity in a Private Company Held any amount of equity or ownership in a private company in the past 12 months? (A private company is one that is not traded on stock markets or similar exchanges. Private companies include startup companies and consulting companies.)	<input type="checkbox"/>	<input type="checkbox"/>		\$
3.c Income from a Private Company Received over \$5,000 in income from a private company, institute of higher education, nonprofit agency, or government agency in the past 12 months? (A private company is one that is not traded on stock markets or similar exchanges. Private companies include startup companies and consulting companies.)	<input type="checkbox"/>	<input type="checkbox"/>		\$
3.d Income from Intellectual Property Held or received over \$5,000 in income from intellectual property rights (including patents, licensing income, royalties, or copyright) in the past 12 months?	<input type="checkbox"/>	<input type="checkbox"/>		\$
3.e Sponsored Travel Received over \$5,000 for sponsored or reimbursed travel in the past 12 months? (Sponsored travel means that the travel costs were paid directly to the hotel or airline. Reimbursed travel means that you paid for the travel and then were reimbursed.)	<input type="checkbox"/>	<input type="checkbox"/>	If “Yes,” see question #4 for more information needed for EACH TRIP.	

4. If you answered “Yes” to question #3.e Sponsored Travel, please list the following information for each trip:

Entity:	Destination:	Duration:	Purpose:

5. If you answered “Yes” to any significant financial interests in the table in question #3: How could the activities you engage in at Penn State affect the value of the significant financial interests you disclosed in question #3? Please explain.

Section 5: Required Signature

I certify that all information is complete and accurate to the best of my knowledge:

Signature: _____ Date: _____