

Significant Financial Interest Disclosure & Training for Visiting Scholars

Disclosure Requirements

You have been identified as a Visiting Scholar who will be responsible for research during your time at Penn State. Therefore, you must complete this Significant Financial Interest Disclosure form and send it to your Penn State Administrative Host before you start your term as a Visiting Scholar. After you begin your term at Penn State, you must complete this form within 30 days of discovering or acquiring a new Significant Financial Interest and email it to coinsadmin@psu.edu.

After you send in your form, Penn State may follow up with you to ask for more details or to clarify any questions about your financial interests. Penn State will then review your form and decide if a Financial Conflict of Interest (FCOI) exists. If one does exist, Penn State will decide if and how to manage the conflict/FOCI. If your research activities are supported by a grant or contract, Penn State may supply the details of the FCOI to the sponsoring agency.

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Section 1: Complete Training						
Before completing this disclosure form, please c initials below.	lick this link and read the SFI Training Document. Then, supply your					
I certify that I have read and understood the train Financial Interests at Penn State ("SFI Training"	ning document titled, Disclosure and Management of Significant '). Initials					
Section 2: Provide Your Contact Informat	<u>ion</u>					
Your Name:	Home Institution/Company:					
Your Email Address:	Home Institution/Company Website:					
Your Mailing Address:	Your Telephone Number:					
Section 3: Disclose Significant Financial	Interests					
 Describe any income or funding you received, or expect to receive, for your travel to Penn State, living expending at Penn State, and/or your research at Penn State. Include salary, compensation, stipends, tuition and / or travel funding. 						

2. Will you be involved in human subjects research while visiting Penn State? Yes No

Did you, your spouse, or your dependent children receive any of the following financial interests in the past 12 months? (Attach additional pages, if needed.) Review this <u>resource</u> for examples of SFI.
Answer "Yes" or "No" for each significant financial interest in the table.
Only answer "Yes" if the financial interest is <u>related to your Penn State research activities</u>.

Nature of the Significant Financial Interest					If Ye	s, Name of the Entity	Value			
3.a Income in and/or from a Public Company Income from a public company in the past 12 months that when			No	Yes	7 2110	ilio 3	\$			
	mbined with any stock, stock erest, adds up to \$5,000 or mo									
(Public companies are typically corporations and are ones that are traded on stock markets or similar exchanges.)										
3.b Equity in a Private Company Held any amount of equity or ownership in a private company in		No	Yes			\$				
(A	the past 12 months? (A private company is one that is not traded on stock markets or									
and	similar exchanges. Private companies include startup companies and consulting companies.)									
Re	3.c Income from a Private Company Received over \$5,000 in income from a private company,			Yes			\$			
	institute of higher education, nonprofit agency, or government agency in the past 12 months?									
sim	(A private company is one that is not traded on stock markets or similar exchanges. Private companies include startup companies and consulting companies.)									
3.d	3.d Income from Intellectual Property Held or received over \$5,000 in income from intellectual property			Yes			\$			
rigl	rights (including patents, licensing income, royalties, or copyright) in the past 12 months?									
3.e Sponsored Travel Received over \$5,000 for sponsored or reimbursed travel in the			No	Yes	If "Yes," see question #4 for more information needed for EACH TRIP.					
past 12 months? (Sponsored travel means that the travel costs were paid directly to the hotel or airline. Reimbursed travel means that you paid for the travel and then were reimbursed.)					neede	ed for EACH TRIP.				
4.	4. If you answered "Yes" to question #3.e Sponsored Travel , please list the following information for each trip:									
	Entity:	Destination:	Duration:			Purpose:				
	5. If you answered "Yes" to any signification financial interests in the table in question #3: How could the activities you engage in at Penn State affect the value of the significant financial interests you disclosed in question #3? Please explain.									
Section 5: Required Signature										
I certify that all information is complete and accurate to the best of my knowledge:										
Signature: Date:										